

# U.S. Food and Drug Administration



## USER'S GUIDE

### to FDA Direct (Cosmetics Direct)

December 2023

## Table of Contents

<b>1</b>	<b>FDA DIRECT .....</b>	<b>3</b>
1.1	Overview .....	3
1.2	Account Types .....	4
1.2.1	CDER Direct Account.....	4
1.2.2	Cosmetics Direct Account .....	4
1.2.3	'Combined' Account – CDER Direct & Cosmetics Direct.....	4
<b>2</b>	<b>ACCOUNTS.....</b>	<b>5</b>
2.1	FDA Direct URL: <a href="https://direct.fda.gov/">https://direct.fda.gov/</a> .....	5
2.2	Account Creation.....	6
2.3	Account Main Page.....	10
2.4	Edit Profile .....	15
2.5	Subaccounts .....	18
2.5.1	Creating A Subaccount:.....	18
2.5.2	Managing A Subaccount.....	20
<b>3</b>	<b>SUBMISSION INFORMATION .....</b>	<b>22</b>
3.1	Submission Options .....	22
3.2	Submission Statuses.....	24
3.3	Submission Header Information .....	25
3.4	Submission Help.....	26
<b>4</b>	<b>COSMETIC REGISTRATION AND LISTING .....</b>	<b>29</b>
4.1	Cosmetic Registration and Product Listing SPL .....	29
4.1.1	Registering a New Cosmetic Product Facility .....	32
4.1.1.1	Save and Validate .....	42
4.1.1.2	Submit SPL to FDA .....	44
4.1.1.3	Submission Accepted .....	45
4.1.1.4	Submission Failed.....	47
4.1.1.5	Validation Failure .....	48
4.1.2	Amending Cosmetic Product Facility Registration .....	49
4.1.3	Amending Cosmetic Product Facility Cancellation.....	50
4.1.4	Biennial Cosmetic Product Facility Registration Renewal .....	51
4.1.5	Abbreviated Cosmetic Product Facility Registration.....	52
4.2	Cosmetics Product Listing .....	53
4.2.1	New Cosmetic Product Listing.....	53
4.2.2	Create a New Cosmetic Product Listing .....	55
4.2.3	Product(s), Ingredient(s), and Facility(es).....	66
4.2.3.1	Save and Validate .....	86
4.2.3.2	Submit SPL to FDA .....	87
4.2.3.3	Submission Accepted .....	88
4.2.3.4	Submission Failed.....	89
4.2.3.5	Validation Failure .....	90

# 1 FDA DIRECT

## 1.1 Overview

FDA Direct is the U.S. Food and Drug Administration's web-based and free *Structured Product Labeling* (SPL) authoring tool. Previously titled 'CDER Direct,' the newly upgraded FDA Direct platform now includes two modules: **CDER Direct** and **Cosmetics Direct**. Users can create separate accounts in CDER Direct or in Cosmetics Direct, or a single 'Combined' account that allows access to both CDER Direct submissions and Cosmetics Direct submissions.

Section 508 of the Rehabilitation Act of 1973 (29 U.S.C. 794d), as amended by the Workforce Investment Act of 1993, requires that all electronic and information technology (EIT) products and services developed, acquired, maintained, or used under this contract/order must comply with the "Electronic and Information Technology Accessibility Provisions" set forth by the Architectural and Transportation Barriers Compliance Board (also referred to as the "Access Board") in 36 CFR part 1194. Information about Section 508 is available at <http://www.section508.gov/>.

## 1.2 Account Types

### 1.2.1 CDER Direct Account

CDER Direct can submit the following types of data directly to the FDA:

- [Drug Establishment Registration & Listing](#)
  - Drug Establishment Registration
  - Drug Listing and Certification
  - Drug NDC Labeler Code Requests
  - Drug NDC Reservations
- [Outsourcing Facility Registration and Product Reporting](#)
  - Outsourcing Facility Registration
  - Compounded Drug Reporting
- [DSCSA Annual Reporting](#)
  - Wholesale Drug Distributor and Third-Party Logistics (WDD/3PL) Provider Reports
  - WDD/3PL Facilities
  - WDD/3PL Licenses
- [Generic Drug Self-Identification](#)
  - Generic Facility GDUFA Self-Identification

### 1.2.2 Cosmetics Direct Account

Cosmetics Direct allows users to submit the following types of data directly to the FDA:

- [Registration of Cosmetic Product Facility](#)
- [Cosmetic Product Listing](#)

### 1.2.3 'Combined' Account – CDER Direct & Cosmetics Direct

Combined accounts have access to all CDER Direct and Cosmetics Direct submission types listed in [1.2.1](#) and [1.2.2](#) above. For help with changing your account type, visit the [Section 2.4: Edit Profile](#).

## 2 ACCOUNTS

### 2.1 FDA Direct URL: <https://direct.fda.gov/>

**LOGIN**

Username:

Password:

[Forgot your password?](#)

☐ [I accept the Terms of Service](#)

**LOGIN**

OR

**CREATE NEW ACCOUNT**

**WELCOME TO FDA DIRECT**

FDA Direct is U.S. Food and Drug Administration's web-based and free structured product labeling (SPL) authoring tool. Previously CDER Direct, FDA Direct now includes CDER Direct and Cosmetics Direct. Users can create separate accounts, depending on drugs or cosmetics submissions, or a single account that includes both CDER Direct submissions as well as Cosmetics Direct submissions.

**CDER Direct**

CDER Direct allows users to easily create and submit data directly to the FDA. This system will provide information to FDA/CDER about drug manufacturers and private label distributors, outsourcing facilities, wholesale drug distributors and third-party logistics, and generic drug facilities, along with their drugs in U.S. commercial distribution. CDER Direct has several sections which allows submission of the following data to the FDA: Establishment Registration and Drug Listing, including NDC Labeler Code Requests and NDC Reservations, Outsourcing Facility and Product Reporting, DSCSA Annual Reporting, and Generic Drug Self-Identification.

**Cosmetics Direct**

On December 29, 2022, the President signed the Consolidated Appropriations Act, 2023 (Pub. L. 117-328) into law, which included the Modernization of Cosmetics Registration Act of 2022 (MoCRA). Among other provisions, MoCRA added section 607 to the Federal Food, Drug, and Cosmetic Act (FD&C Act), establishing requirements for cosmetic product facility registration and cosmetic product listing.

Section 607(a) of the FD&C Act requires every person that owns or operates a facility that engages in the manufacturing or processing of a cosmetic product for distribution in the United States to register each facility with FDA. Section 607(c) of the FD&C Act requires that for each cosmetic product, the responsible person submit to FDA "a cosmetic product listing." Certain small businesses, as defined in section 612 of the FD&C Act, are exempt from the registration and listing requirements. [Click here](#) to learn more about MoCRA.

This free tool allows you to create and submit the following types of data directly to the FDA: Registration of Cosmetic Product Facility and Cosmetic Product Listing. This system will provide information to FDA/Office of Cosmetics and Colors (OCAC) about cosmetic product manufacturers/processors and cosmetic products on the market.

**Note:** Section 508 of the Rehabilitation Act of 1973 (29 U.S.C. 794d), as amended by the Workforce Investment Act of 1993, requires that all electronic and information technology (EIT) products and services developed, acquired, maintained, or used under this contract/order must comply with the "Electronic and Information Technology Accessibility Provisions" set forth by the Architectural and Transportation Barriers Compliance Board (also referred to as the "Access Board") in 36 CFR part 1194. Information about Section 508 is available at <http://www.section508.gov/>.

**Quick Links:** [Resources](#) | [Tutorials](#) | [FAQs](#) | [CDER Direct Help Desk](#) | [Cosmetic Direct Help Desk](#)

**WARNING:** This warning banner provides privacy and security notices consistent with applicable federal laws, directives, and other federal guidance for accessing this Government system, which includes all devices/storage media attached to this system. This system is provided for Government authorized use only. Unauthorized or improper use of this system is prohibited and may result in disciplinary action and/or civil and criminal penalties. At any time, and for any lawful Government purpose, the Government may monitor, record, and audit your system usage and/or intercept, search and seize any communication or data transiting or stored on this system. Therefore, you have no reasonable expectation of privacy. Any communication or data transiting or stored on this system may be disclosed or used for any lawful Government purpose.

**Footer:** [FDA Home](#) | [Browser Requirements](#) | [Resources](#) | [Tutorials](#) | [Help Desk](#) | [FAQs](#)  
[Follow FDA](#) | [FDA Voice Blog](#) | [Privacy](#) | [Vulnerability Disclosure Policy](#)

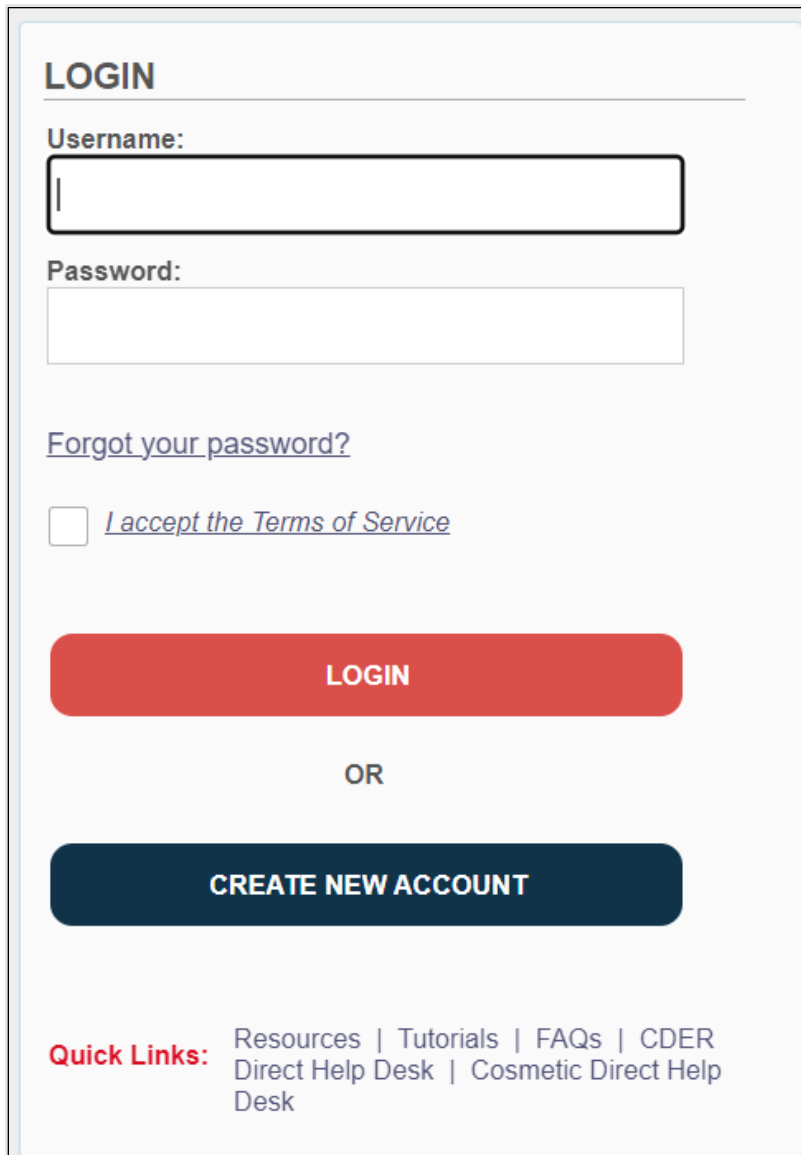
At the bottom of the Login area, there are **Quick Links** that can provide you with further assistance on various topics:

- **Resources** - Links to the FDA's [Structure Product Labeling \(SPL\) Resources](#) page, which includes an extensive list of SPL help documents and information.
- **Tutorials** - List of walkthrough documentation for various areas of FDA Direct (submissions, registration, etc.).
- **Help Desk** - Email contact for the CDER Direct and Cosmetics Direct helpdesks.
- **FAQs** - Frequently asked questions, searchable.

## 2.2 Account Creation

Follow these steps to create a new account:

1. Navigate to the [FDA Direct main page](#) and click **Create New Account**.



The screenshot shows a web form for logging in or creating a new account. At the top, the word "LOGIN" is displayed in bold. Below it are two input fields: "Username:" and "Password:". A link for "Forgot your password?" is positioned below the password field. A checkbox labeled "I accept the Terms of Service" is located below the link. Two large buttons are present: a red "LOGIN" button and a dark blue "CREATE NEW ACCOUNT" button, separated by the word "OR". At the bottom, a "Quick Links" section lists "Resources | Tutorials | FAQs | CDER Direct Help Desk | Cosmetic Direct Help Desk".

2. Select your desired account type. This can be changed after account creation:

**ORGANIZATION TYPE**

What type of Account are you creating ? ☐ CDER Direct ☐ Cosmetics Direct ☐ Combined (CDER Direct and Cosmetics Direct)

There are three types of account that can be created on FDA Direct: CDER Direct, Cosmetics Direct, and a combined account (CDER Direct & Cosmetics Direct). A combined account is intended for companies that have both drugs and cosmetics submissions. A combined account streamlines both drugs and cosmetics submission requirements and saves time and effort. DUNS number is only a required field if you create a CDER Direct account or a combined account (CDER Direct and Cosmetics Direct). DUNS number is NOT required but requested if you create only a Cosmetics Direct account.

- **CDER Direct** – Select this option to register human drug products. You will have access to all drug-related submission forms including *Establishment Registration and Drug Listing*, *Outsourcing Facility Registration and Product Reporting*, *Annual Reporting*, and *Generic Drug Self-Identification*. A complete list of all forms will be shown upon selecting this option.
- **Cosmetics Direct** – Select this option to register cosmetic products only. You will have access to *Cosmetic Registration and Listing* submission forms. A complete list of all forms will be shown upon selecting this option.
- **Combined** – Full access to both CDER Direct and Cosmetics Direct submission forms. Select this option to register both drug and cosmetic products. A complete list of all forms will be shown upon selecting this option.

3. Fill out your details in the fields that appear:

**ORGANIZATION TYPE**

What type of Account are you creating ? ☐ CDER Direct ☐ Cosmetics Direct ☒ Combined (CDER Direct and Cosmetics Direct)

There are three types of account that can be created on FDA Direct: CDER Direct, Cosmetics Direct, and a combined account (CDER Direct & Cosmetics Direct). A combined account is intended for companies that have both drugs and cosmetics submissions. A combined account streamlines both drugs and cosmetics submission requirements and saves time and effort. DUNS number is only a required field if you create a CDER Direct account or a combined account (CDER Direct and Cosmetics Direct). DUNS number is NOT required but requested if you create only a Cosmetics Direct account.

---

**ORGANIZATION INFORMATION**

Name: \*

DUNS: \*

**ORGANIZATION ADDRESS**

Country: \*

Street Address: \*

City: \*

State: \*

Postal Code: \*

**CONTACT INFORMATION**

First Name: \*

Middle Name:

Last Name: \*

Job Title:

Contact Email: \*

**CONTACT PHONE**

Country Code: \*

Phone Number: \*

Phone Extension:

**\*The DUNS field is optional in Cosmetics Direct account creation only.**

- A list of available submission forms will be automatically selected for you at the bottom of the page. If there are any unwanted submission forms in the list, de-select any of the boxes as desired:

**FDA DIRECT (CDER DIRECT AND COSMETICS DIRECT)**

*With an FDA Direct account (CDER Direct and Cosmetics Direct), the following submissions can be made to the FDA. You can uncheck any submission types that are not needed.*

<p><input checked="" type="checkbox"/> <b>ESTABLISHMENT REGISTRATION AND DRUG LISTING</b></p> <ul style="list-style-type: none"> <li>• ESTABLISHMENT REGISTRATION</li> <li>• NDC LABELER CODE REQUEST</li> <li>• DRUG LISTING AND CERTIFICATION               <ul style="list-style-type: none"> <li>• BLANKET NO CHANGES CERTIFICATION OF PRODUCT LISTING</li> <li>• BULK INGREDIENT</li> <li>• CELLULAR THERAPY</li> <li>• DRUG FOR FURTHER PROCESSING</li> <li>• HUMAN OTC DRUG LABEL</li> <li>• HUMAN PRESCRIPTION DRUG LABEL</li> <li>• NON-STANDARDIZED ALLERGENIC LABEL</li> <li>• PLASMA DERIVATIVE</li> <li>• STANDARDIZED ALLERGENIC</li> <li>• VACCINE LABEL</li> </ul> </li> <li>• NDC RESERVATION</li> </ul> <p><input checked="" type="checkbox"/> <b>COSMETIC REGISTRATION AND LISTING</b></p> <ul style="list-style-type: none"> <li>• REGISTRATION OF COSMETIC PRODUCT FACILITY</li> <li>• COSMETIC PRODUCT LISTING</li> </ul>	<p><input checked="" type="checkbox"/> <b>DRUG REPORTING BY OUTSOURCING FACILITY</b></p> <ul style="list-style-type: none"> <li>• OUTSOURCING FACILITY REGISTRATION</li> <li>• COMPOUNDED DRUG REPORTING</li> </ul> <p><input checked="" type="checkbox"/> <b>DSCSA ANNUAL REPORTING</b></p> <ul style="list-style-type: none"> <li>• WHOLESALE DRUG DISTRIBUTOR AND THIRD-PARTY LOGISTICS PROVIDER REPORTS</li> </ul> <p><input checked="" type="checkbox"/> <b>GENERIC DRUG SELF-IDENTIFICATION</b></p> <ul style="list-style-type: none"> <li>• GENERIC FACILITY GDUFA SELF-IDENTIFICATION</li> </ul>
---	--

- Click the 'I have read and agree to the Terms and Conditions stated above' checkbox at the end of the page. Then click 'Submit':



*Under 18 U.S.C. 1001, anyone who makes a materially false, fictitious, or fraudulent statement to the U.S. Government is subject to criminal penalties.*

☐ I have read and agree to the Terms and Conditions stated above.

**SUBMIT** **CANCEL**

6. An account activation email will be sent from [direct@fda.gov](mailto:direct@fda.gov) to the email address you used in Step 3. Activation links are valid for 48 hours. If your link has expired, you must re-do Steps 1-5 above.

**\*Activation email missing or delayed:** Check your spam/junk folder first. There may also be a slight delay for DUNS verification, if entered.

If you still have not received your activation email after 5-10 minutes, you can contact the Help Desk at:

- [cdirect@fda.hhs.gov](mailto:cdirect@fda.hhs.gov) (CDER Direct, Combination accounts)

OR

- [cosmeticsdirect@fda.hhs.gov](mailto:cosmeticsdirect@fda.hhs.gov) (Cosmetics Direct accounts)

7. Click the link in the activation email and enter an account username, password, and 3 security questions:

**ACTIVATE ACCOUNT**

*Username must be at least 8 characters and no more than 32 characters. It can consist of any combination of capital and lowercase letters, numbers, a period, or an underscore (\_).*

Username: \*

*Password must be at least 15 characters and no more than 32 characters. It must include a capital letter, a lowercase letter, a number, and a special character.*

Password: \*

Confirm Password: \*

Select and answer security questions. If you forget your password, you will need to provide this information. [Show Answers](#)

Security Question 1: \* --Select One--

Security Answer 1: \*

Security Question 2: \* --Select One--

Security Answer 2: \*

Security Question 3: \* --Select One--

Security Answer 3: \*

**SUBMIT** **CANCEL**

8. Click **'Submit'** when all fields have been filled.
9. Check your email inbox for an 'Account Activated' email as confirmation. This email will contain your username and a link to FDA Direct. Once you have received this email, you may now log in to FDA Direct.

## 2.3 Account Main Page

Once you have completed account activation, return to the FDA Direct main page at [direct.fda.gov](http://direct.fda.gov).

To log in to FDA Direct and access your account:

1. Enter your username and password.
2. Check the '*I accept the Terms of Service*' box and a warning banner will display. Then click '**I Agree**'.

The screenshot shows the FDA Direct login interface. On the left, there is a 'LOGIN' section with fields for 'Username:' and 'Password:', a 'Forgot your password?' link, and a checkbox for '*I accept the Terms of Service*'. Below these are 'LOGIN', 'OR', and 'CREATE NEW ACCOUNT' buttons. A 'Quick Links' section at the bottom lists 'Resources | Tutorials | FAQs | Direct Help Desk | Cosmetic Direct'. A large warning banner is overlaid in the center, containing the following text:

This warning banner provides privacy and security notices consistent with applicable federal laws, directives, and other federal guidance for accessing this Government system, which includes (1) this computer network, (2) all computers connected to this network, and (3) all devices and storage media attached to this network or to a computer on this network.

This system is provided for Government-authorized use only.

Unauthorized or improper use of this system is prohibited and may result in disciplinary action and/or civil and criminal penalties.

Personal use of social media and networking sites on this system is limited as to not interfere with official work duties and is subject to monitoring.

By using this system, you understand and consent to the following: The Government may monitor, record, and audit your system usage, including usage of personal devices and email systems for official duties or to conduct HHS business. Therefore, you have no reasonable expectation of privacy regarding any communication or data transiting or stored on this system. At any time, and for any lawful Government purpose, the government may monitor, intercept, and search and seize any communication or data transiting or stored on this system. Any communication or data transiting or stored on this system may be disclosed or used for any lawful Government purpose.

Under 18 U.S.C. 1001, anyone who makes a materially false, fictitious, or fraudulent statement to the U.S. Government is subject to criminal penalties.

At the bottom of the banner are 'CLOSE' and 'I AGREE' buttons.

Below the banner, a 'WARNING' text block repeats the privacy and security notices. The footer contains links: 'FDA Home | Browser Requirements | Resources | Tutorials | Help Desk | FAQs | Follow FDA | FDA Voice Blog | Privacy | Vulnerability Disclosure Policy'.

3. Click the 'Login' button. If this is your first time logging in for the day, you will be redirected to a verification page:

The screenshot shows the 'VERIFICATION CODE' page. It states: 'A one-time passcode (OTP) has been sent to z\*\*\*\*\*r@[redacted]. The one-time passcode you received is valid for the next 30 minutes.' A note follows: 'Note: It may take a few minutes for the passcode to arrive. If you didn't receive the passcode or it's not working, click the [Request New Passcode](#) link.'

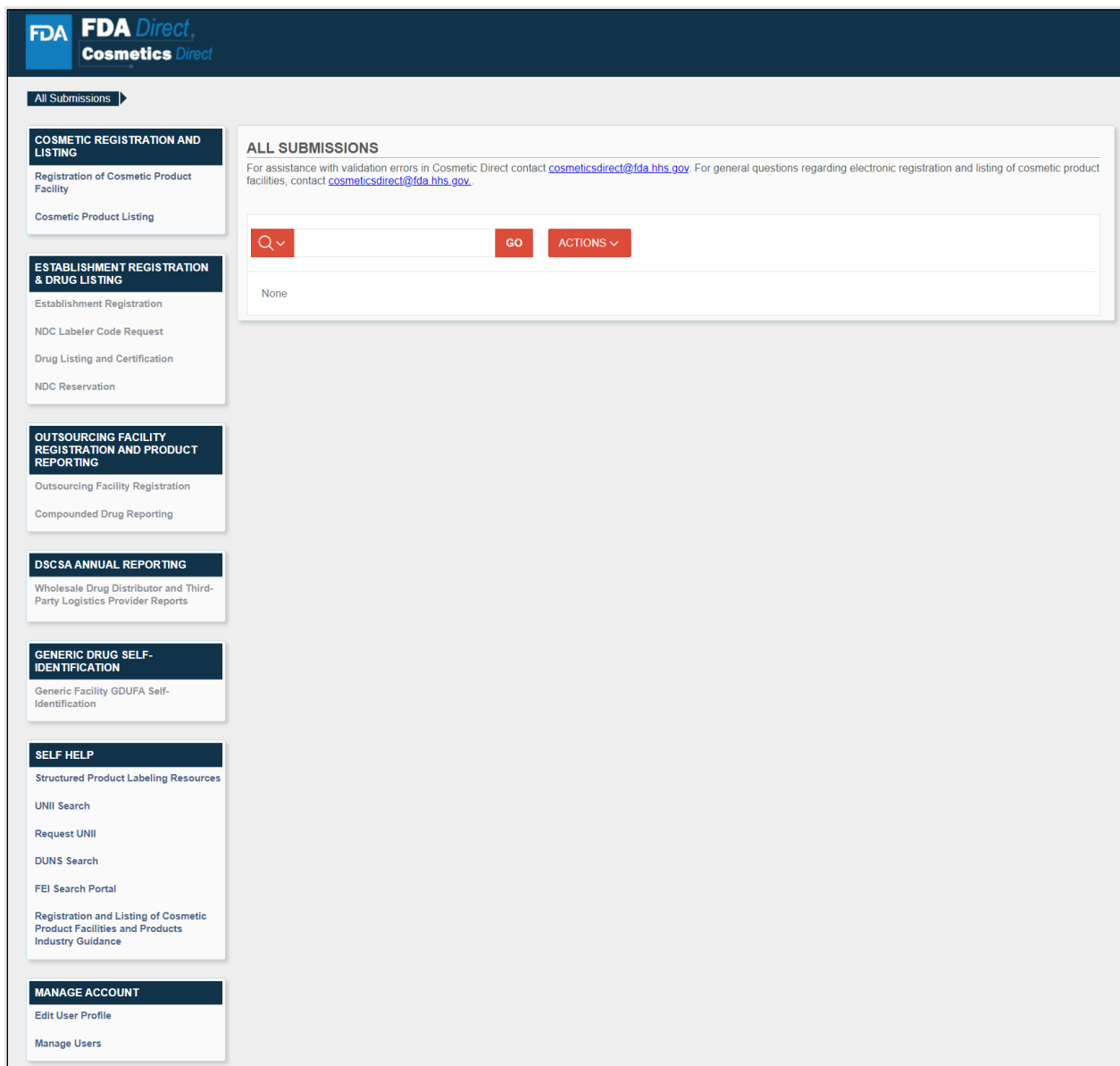
Below this, there are input fields for 'Username:' and 'One-Time Passcode (OTP):'. A checkbox for 'Remember this Device for 8 hours' is present. At the bottom are 'SUBMIT' and 'CANCEL' buttons.

4. Check your email for a one-time passcode (OTP). Enter the number into the OTP field and check the box '*Remember this device for 8 hours.*' This will prevent the verification

step from appearing within an 8-hour timeframe. **If you do not check the box, you must re-do this verification step every single time you log in to FDA Direct.**

**IMPORTANT:** All accounts are subject to a **15-minute session timeout**. If you are inactive for longer than 15 minutes, you will automatically be logged out of FDA Direct.

5. Click '**Submit.**' You will then be taken to your account homepage.
6. If you have a Cosmetics Direct account or a Combined account, a Paperwork Reduction Act (PRA) banner will display. Click '**OK**' to continue.
7. Your homepage will display all your submissions. If you are a first-time user, this area will be empty.



**FDA Direct Cosmetics Direct**

All Submissions ▾

**COSMETIC REGISTRATION AND LISTING**

- Registration of Cosmetic Product Facility
- Cosmetic Product Listing

**ESTABLISHMENT REGISTRATION & DRUG LISTING**

- Establishment Registration
- NDC Labeler Code Request
- Drug Listing and Certification
- NDC Reservation

**OUTSOURCING FACILITY REGISTRATION AND PRODUCT REPORTING**

- Outsourcing Facility Registration
- Compounded Drug Reporting

**DSCSA ANNUAL REPORTING**

- Wholesale Drug Distributor and Third-Party Logistics Provider Reports

**GENERIC DRUG SELF-IDENTIFICATION**

- Generic Facility GDUFA Self-Identification

**SELF HELP**

- Structured Product Labeling Resources
- UNII Search
- Request UNII
- DUNS Search
- FEI Search Portal
- Registration and Listing of Cosmetic Product Facilities and Products Industry Guidance

**MANAGE ACCOUNT**

- Edit User Profile
- Manage Users

**ALL SUBMISSIONS**

For assistance with validation errors in Cosmetic Direct contact [cosmeticsdirect@fda.hhs.gov](mailto:cosmeticsdirect@fda.hhs.gov) For general questions regarding electronic registration and listing of cosmetic product facilities, contact [cosmeticsdirect@fda.hhs.gov](mailto:cosmeticsdirect@fda.hhs.gov)

Q ▾ GO ACTIONS ▾

None

- The left menu displays all available submission forms in FDA Direct. Access to certain forms is limited based on both your account type (Cosmetics/CDER/Combined) and any de-selections made in [Step 4 of Account](#)

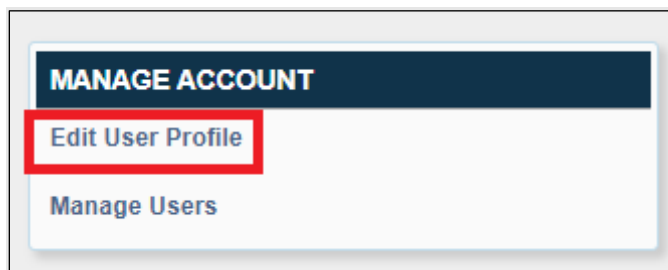
[Creation](#). Greyed out areas of the menu indicate you do not have access to a particular form or group of forms.

- The '**Self Help**' section links to the [FEI Portal](#) (FEI number lookup), Dun & Bradstreet (DUNS number lookup), FDA Direct tutorials/user guides, and other useful information.
- The '**Manage Account**' section will allow you to edit your profile (change account type or account information) and manage your users.

## 2.4 Edit Profile

To change your account type (Cosmetics Direct, CDER Direct, or Combined) log in to FDA Direct.

Scroll down to the bottom of the page. Click '**Edit User Profile**' under the *Manage Account* section on the left side:



Select the desired account type:

A screenshot of the 'Edit Profile' form. At the top, there are two tabs: 'All Submissions' and 'Edit Profile', with 'Edit Profile' being the active tab. Below the tabs, the section is titled 'ORGANIZATION TYPE'. Under this title, there is a label 'Organization Type:' followed by three radio button options: 'CDER Direct' (which is selected), 'Cosmetics Direct', and 'Combined (CDER Direct and Cosmetics Direct)'. Below the radio buttons, there is a paragraph of text explaining the three types of accounts and the requirements for each, including the DUNS number.

**\*If you are converting from a Cosmetics Direct account to a CDER Direct or Combined account, you must enter a valid DUNS number to successfully switch accounts.**

You can modify the following in the next section:

- Contact Information
- Organization Information
- Security Questions – Click '**Change Password**' at the bottom of this section to change your password.

CONTACT INFORMATION	ORGANIZATION INFORMATION
<b>First Name:</b> *	<b>Name:</b> *
Amara	Company 123
<b>Middle Name:</b>	
<b>Last Name:</b> *	<b>DUNS:</b> *
Brown	121212129
<b>Job Title:</b>	
<b>Contact Email:</b> *	
amarabrown@abc.com	
<b>CONTACT PHONE</b>	
<b>Country Code:</b> *	<b>Country:</b> *
United States (+1)	United States
<b>Phone Number:</b> *	<b>Street Address:</b> *
1-123-456-7890	Street Ln
<b>Extension:</b>	<b>City:</b> *
	Washington
	<b>State:</b> *
	District Of Columbia
	<b>Postal Code:</b>
	10005
<b>SECURITY QUESTIONS</b>	
<a href="#">Show Answers</a>	
<b>Security Question 1:</b> *	What is your library card number?
<b>Security Answer 1:</b> *	*****
<b>Security Question 2:</b> *	What was your first pet's name?
<b>Security Answer 2:</b> *	*****
<b>Security Question 3:</b> *	What is your favorite food?
<b>Security Answer 3:</b> *	*****
<b>Username:</b> *	
<b>Password:</b> *	<a href="#">Change Password</a>

Finally, inspect your form accesses and check/uncheck form boxes as desired:



**FDA DIRECT (CDER DIRECT AND COSMETICS DIRECT)**

With an FDA Direct account (CDER Direct and Cosmetics Direct), the following submissions can be made to the FDA. You can uncheck any submission types that are not needed.

☒ **ESTABLISHMENT REGISTRATION AND DRUG LISTING**

- ESTABLISHMENT REGISTRATION
- NDC LABELER CODE REQUEST
- DRUG LISTING AND CERTIFICATION
  - BLANKET NO CHANGES CERTIFICATION OF PRODUCT LISTING
  - BULK INGREDIENT
  - CELLULAR THERAPY
  - DRUG FOR FURTHER PROCESSING
  - HUMAN OTC DRUG LABEL
  - HUMAN PRESCRIPTION DRUG LABEL
  - NON-STANDARDIZED ALLERGENIC LABEL
  - PLASMA DERIVATIVE
  - STANDARDIZED ALLERGENIC
  - VACCINE LABEL
- NDC RESERVATION

☒ **COSMETIC REGISTRATION AND LISTING**

- REGISTRATION OF COSMETIC PRODUCT FACILITY
- COSMETIC PRODUCT LISTING

☒ **DRUG REPORTING BY OUTSOURCING FACILITY**

- OUTSOURCING FACILITY REGISTRATION
- COMPOUNDED DRUG REPORTING

☒ **DSCSA ANNUAL REPORTING**

- WHOLESALE DRUG DISTRIBUTOR AND THIRD-PARTY LOGISTICS PROVIDER REPORTS

☒ **GENERIC DRUG SELF-IDENTIFICATION**

- GENERIC FACILITY GDUFA SELF-IDENTIFICATION

Under [18 U.S.C. 1001](#), anyone who makes a materially false, fictitious, or fraudulent statement to the U.S. Government is subject to criminal penalties.

☐ I have read and agree to the Terms and Conditions stated above.

**SUBMIT****CANCEL**

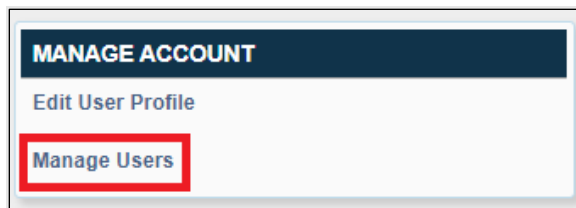
Click **'Submit'** to finalize all changes made, or **'Cancel'** to abandon your changes.

## 2.5 Subaccounts

If you are the first person to create an account for your organization, you are considered an 'Admin' user by default. Only Admin users can create subaccounts, which are limited-access accounts for other users within your organization. Subaccounts can be customized in a few ways:

- **Form Access:** Subaccounts can be limited to one or many submission forms.
- **User Roles:** Subaccounts can have either 'User' or 'Admin' roles.
- **Status:** Subaccounts can be inactivated by Admin users at any time. Inactivated accounts can also be reactivated.

Log in to FDA Direct. Scroll to the bottom of your account main page and select '**Manage Users**' under the *Manage Account* section:

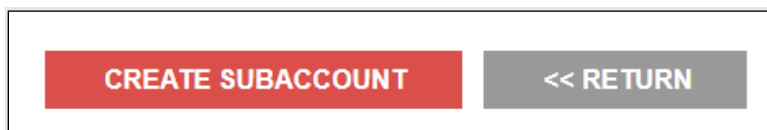


If you have already created subaccounts, they will display in a list. Otherwise, the page will be empty:



### 2.5.1 Creating A Subaccount:

- Click the '**Create Subaccount**' button.



- Enter all required fields for the subaccount user:

All Submissions > Manage Users > **Create / Edit User**

### CONTACT INFORMATION

First Name: *	<input type="text"/>	User Role: *	USER ▾	Country Code: *	-Select Country Phone Code- ▾
Middle Name:	<input type="text"/>	Job Title:	<input type="text"/>	Phone Number: *	<input type="text"/>
Last Name: *	<input type="text"/>	Contact Email: *	<input type="text"/>	Extension:	<input type="text"/>

- Select the 'User Role' in the dropdown. This will determine whether the subaccount will have full access (Admin) or limited access (User).
- Select which forms the subaccount will have access to. This view will differ based on your organizational account type, which is modifiable in the [Section 2.4: Edit Profile](#) section. Click the form checkboxes then click 'Submit.'

### CDER DIRECT ACCESS

*With a CDER Direct account, the following submissions can be made to the FDA. You can uncheck any submission types that are not needed.*

<input type="checkbox"/> <b>ESTABLISHMENT REGISTRATION AND DRUG LISTING</b> <ul style="list-style-type: none"> <li>• ESTABLISHMENT REGISTRATION</li> <li>• NDC LABELER CODE REQUEST</li> <li>• DRUG LISTING AND CERTIFICATION           <ul style="list-style-type: none"> <li>• BLANKET NO CHANGES CERTIFICATION OF PRODUCT LISTING</li> <li>• BULK INGREDIENT</li> <li>• CELLULAR THERAPY</li> <li>• DRUG FOR FURTHER PROCESSING</li> <li>• HUMAN OTC DRUG LABEL</li> <li>• HUMAN PRESCRIPTION DRUG LABEL</li> <li>• NON-STANDARDIZED ALLERGENIC LABEL</li> <li>• PLASMA DERIVATIVE</li> <li>• STANDARDIZED ALLERGENIC</li> <li>• VACCINE LABEL</li> </ul> </li> <li>• NDC RESERVATION</li> </ul>	<input type="checkbox"/> <b>OUTSOURCING FACILITY REGISTRATION AND PRODUCT REPORTING</b> <ul style="list-style-type: none"> <li>• OUTSOURCING FACILITY REGISTRATION</li> <li>• COMPOUNDED DRUG REPORTING</li> </ul>
	<input type="checkbox"/> <b>DSCSA ANNUAL REPORTING</b> <ul style="list-style-type: none"> <li>• WHOLESALE DRUG DISTRIBUTOR AND THIRD-PARTY LOGISTICS PROVIDER REPORTS</li> </ul>
	<input type="checkbox"/> <b>GENERIC DRUG SELF-IDENTIFICATION</b> <ul style="list-style-type: none"> <li>• GENERIC FACILITY GDUFA SELF-IDENTIFICATION</li> </ul>

**SUBMIT** **CANCEL**

### COSMETICS DIRECT ACCESS

*With a COSMETICS Direct account, the following submissions can be made to the FDA.*

☒ **COSMETIC REGISTRATION AND LISTING**

- REGISTRATION OF COSMETIC PRODUCT FACILITY
- COSMETIC PRODUCT LISTING

*Under 18 U.S.C. 1001, anyone who makes a materially false, fictitious, or fraudulent statement to the U.S. Government is subject to criminal penalties.*

☐ I have read and agree to the Terms and Conditions stated above.

**SUBMIT** **CANCEL**

**FDA DIRECT (CDER DIRECT AND COSMETICS DIRECT)**

*With an FDA Direct account (CDER Direct and Cosmetics Direct), the following submissions can be made to the FDA. You can uncheck any submission types that are not needed.*

☒ **ESTABLISHMENT REGISTRATION AND DRUG LISTING**

- ESTABLISHMENT REGISTRATION
- NDC LABELER CODE REQUEST
- DRUG LISTING AND CERTIFICATION
  - BLANKET NO CHANGES CERTIFICATION OF PRODUCT LISTING
  - BULK INGREDIENT
  - CELLULAR THERAPY
  - DRUG FOR FURTHER PROCESSING
  - HUMAN OTC DRUG LABEL
  - HUMAN PRESCRIPTION DRUG LABEL
  - NON-STANDARDIZED ALLERGENIC LABEL
  - PLASMA DERIVATIVE
  - STANDARDIZED ALLERGENIC
  - VACCINE LABEL
- NDC RESERVATION

☒ **DRUG REPORTING BY OUTSOURCING FACILITY**

- OUTSOURCING FACILITY REGISTRATION
- COMPOUNDED DRUG REPORTING

☒ **DSCSA ANNUAL REPORTING**

- WHOLESALE DRUG DISTRIBUTOR AND THIRD-PARTY LOGISTICS PROVIDER REPORTS

☒ **GENERIC DRUG SELF-IDENTIFICATION**

- GENERIC FACILITY GDUFA SELF-IDENTIFICATION

☒ **COSMETIC REGISTRATION AND LISTING**

- REGISTRATION OF COSMETIC PRODUCT FACILITY
- COSMETIC PRODUCT LISTING

Under [18 U.S.C. 1001](#), anyone who makes a materially false, fictitious, or fraudulent statement to the U.S. Government is subject to criminal penalties.

☐ I have read and agree to the Terms and Conditions stated above.

**SUBMIT** **CANCEL**

- Subaccount creation confirmation will display at the top of the page, and the new user will be listed immediately:

**Subaccount User has been created successfully.**

All Submissions **Manage Users**

**LIST OF USERS - CURCUMIN SOLUTIONS**

Q  **GO** **ACTIONS** **CREATE SUBACCOUNT** **<< RETURN**


	USERNAME	FIRST NAME	MIDDLE NAME	LAST NAME	ROLE	STATUS	EMAIL	PHONE
	-	Elif	D	Aydin	User	Pending	eda@lighthousec.com	+90-90-111-111-11-11

1 - 1

- An activation email is sent to the subaccount user's email. The *Username* field will remain empty until the account has been activated.

## 2.5.2 Managing A Subaccount

To edit a user's details, including their email and role, click the pencil icon to the far left of the user's entry:

	USERNAME	FIRST NAME	MIDDLE NAME	LAST NAME	ROLE	STATUS	EMAIL	PHONE
	-	Elif	D	Aydin	User	Pending	<a href="mailto:eda@lighthouseilc.com">eda@lighthouseilc.com</a>	+90-90-111-111-11-11

You may edit the following information on this page:

- Inactivate/Reactivate Account - Select the '**Status**' dropdown and choose 'Inactive.' To reactivate an inactive account, choose 'Active.' Inactivating an account will prevent the user from logging in and accessing organizational data. Subaccounts cannot be deleted.
- User Roles – Select the '**User Role**' dropdown and choose either 'Admin' or 'User.' Admin users have the ability to create and manage subaccounts, while Users do not.
- Contact Information – All fields are editable.
- Form Access – To limit users to specific forms, check or uncheck the boxes. Unchecked boxes will show as greyed out text on the subaccount user's homepage and will not be clickable.

All Submissions
Manage Users
Create / Edit User

### CONTACT INFORMATION

First Name: \*

User Role: \*
USER

Country Code: \*
-Select Country Phone Code-

Middle Name:

Job Title:

Phone Number: \*

Last Name: \*

Contact Email: \*

Extension:

### FORM ACCESS

With a COSMETIC Direct account, the following submissions can be made to the FDA.

☐ COSMETIC REGISTRATION AND LISTING

- REGISTRATION OF COSMETIC PRODUCT FACILITY
- COSMETIC PRODUCT LISTING

CREATE USER
CANCEL

Click '**Submit**' to confirm changes.

### 3 SUBMISSION INFORMATION

**Please read this section fully before starting a submission!**

This section contains general submission information that applies to all account types (Combined, CDER Direct, Cosmetics Direct).

#### 3.1 Submission Options

There are three ways in FDA Direct to submit information to the FDA:

- 1. Create a new submission via the standard SPL submission templates in FDA Direct.**

Recommended if you have never submitted an establishment registration, product listing, etc. FDA Direct has several blank templates available for different types of submissions. See Sections 4 – 8 for walkthroughs.

- 2. 'Clone' or copy a previously submitted FDA Direct SPL submission.**

Recommended if you have previously submitted using one of the templates in FDA Direct **and** your submission was accepted by the FDA. An exact copy of your previous submission will be generated, and you can make updates as needed.

To clone a submission:

- Navigate to the main page and click the most recent submission with the **'Submission Accepted'** status:

STATUS	SET ID	ROOT ID	SUBMISSION ID	VERSION	DOCUMENT LABEL
<a href="#">SUBMISSION ACCEPTED</a>	06fb25ba-b23c-92ab-e063-fb95b40a8a24	06fb25ba-b23d-92ab-e063-fb95b40a8a24	cd3879016452.2394681507@direct	1	WHOLESALE DRUG DISTRIBUTORS AND THIRD-PARTY LOGISTICS FACILITY REPORT

- Click **'Create New Version'** at the top of the page:

All Submissions
WDD/3PL
SPL Submission

VIEW SPL
DOWNLOAD SPL
CREATE NEW VERSION
<< RETURN

Note: Click on the Data Element Name for each field below (if applicable) to display instructions and helpful hints for filling out this WDD/3PL form. Red asterisk indicate required fields.

- Make any necessary changes, then return to the top and click **'Submit.'**

- 3. Upload an FDA-accepted SPL submission file using a third-party tool.**

Recommended if you already have a completed submission file (SPL) that is ready for submission to the FDA. Must be an XML file in zip format. Changes can be made to the file after uploading the file to FDA Direct.

To upload a completed file into FDA Direct:

- Choose your submission category from the left-hand menu:

The screenshot shows the FDA Direct & Cosmetics Direct dashboard. On the left, a sidebar menu is highlighted with a red box, containing categories like 'ESTABLISHMENT REGISTRATION & DRUG LISTING', 'OUTSOURCING FACILITY REGISTRATION AND PRODUCT REPORTING', 'DSCSA ANNUAL REPORTING', 'GENERIC DRUG SELF-IDENTIFICATION', and 'COSMETIC REGISTRATION AND LISTING'. The main area displays the 'ALL SUBMISSIONS' table with columns for STATUS, SET ID, ROOT ID, SUBMISSION ID, VERSION, DOCUMENT LABEL, LAST MODIFIED USER, and LAST MODIFIED DATE. The table lists several draft submissions, including 'WITHDRAWAL OF WHOLESALE DRUG DISTRIBUTORS AND THIRD-PARTY LOGISTICS FACILITY REPORT', 'ESTABLISHMENT REGISTRATION', 'OUT OF BUSINESS NOTIFICATION', 'HUMAN OTC DRUG LABEL', 'BLANKET NO CHANGES CERTIFICATION OF PRODUCT LISTING', and 'HUMAN OTC DRUG LABEL'.

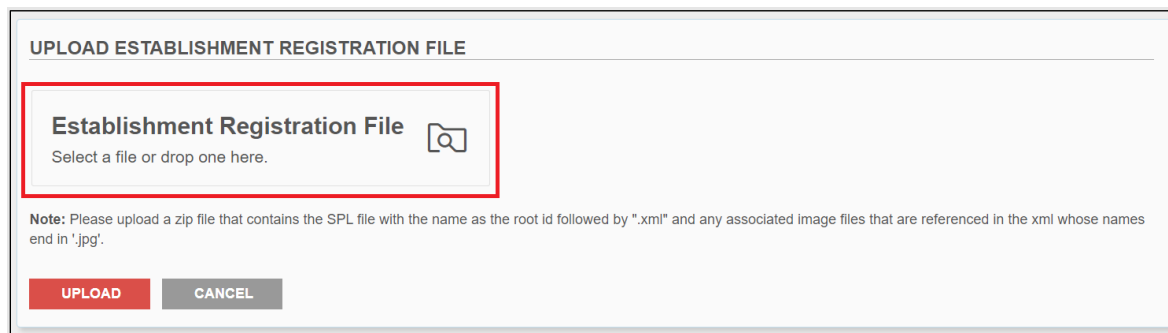
- Click 'Create New/Upload File':

The screenshot shows the 'ESTABLISHMENT REGISTRATION' section of the FDA Direct & Cosmetics Direct dashboard. The left-hand menu is visible, and the main area contains information about establishment registration, including a list of exceptions and a note about the registration expiration date. At the bottom right, a red box highlights the 'CREATE NEW / UPLOAD FILE' button.


- Select the 'Import an existing' option then click 'Continue':

The screenshot shows the 'CREATE NEW ESTABLISHMENT REGISTRATION' form. Two radio buttons are visible: 'Create New Establishment Registration using a blank form' and 'Import an existing Establishment Registration SPL'. The second option is selected and highlighted with a red box. Below the radio buttons, a note states: 'Note: To update an existing submission, click on Cancel and select a submission with the status SUBMISSION ACCEPTED from the table in the prior page / Dashboard.' At the bottom, there are 'CONTINUE' and 'CANCEL' buttons.

- Click the upload area to select a submission file (SPL) from your computer, or drag the file from your computer onto this area:



UPLOAD ESTABLISHMENT REGISTRATION FILE

**Establishment Registration File** 

Select a file or drop one here.

**Note:** Please upload a zip file that contains the SPL file with the name as the root id followed by ".xml" and any associated image files that are referenced in the xml whose names end in '.jpg'.

**UPLOAD** **CANCEL**

- e. Once the file has been selected from your computer, click the 'Upload' button:



UPLOAD ESTABLISHMENT REGISTRATION FILE

**Establishment Registration File** 

c7175e5b-8d18-7ed2-e053-0791b40ad884.zip

**Note:** Please upload a zip file that contains the SPL file with the name as the root id followed by ".xml" and any associated image files that are referenced in the xml whose names end in '.jpg'.

**UPLOAD** **CANCEL**

- f. Your file will be ready for editing. Make any changes necessary.
- g. For more information on editing existing data in your uploaded SPL submission file or how to add new details, skip to the appropriate walkthrough (Sections 4 – 8) of this guide.

## 3.2 Submission Statuses

Your submissions will always be in one of the following statuses:

- **Draft** – A submission that you have started but have not submitted to the FDA. In progress.
- **Awaiting Acceptance** - A submission that is pending accepted/rejection by the FDA. Displays right after a submission has been sent in. No changes can be made, but the submission is viewable.
- **Validation In Progress**: A submission that has not been submitted to the FDA, but is currently being checked for potential errors. This status will display after clicking 'Save And Validate,' and will last only a few minutes before changing to 'Validation Failure' or 'Ready For Submission.'




- **Ready For Submission**: A submission that has passed the initial error check and is ready to be sent to the FDA. If you receive this status after clicking 'Save And Validate,' you must open your submission and click 'Submit' to complete the process.
- **Submission Accepted** – A submission that has been accepted by the FDA.  
For NDC labeler code requests only: If you did not enter the optional labeler details in an initial NDC Labeler Code Request, you will receive an email from FDA to supply them.
- **Submission Failed** – A submission that has not been accepted by FDA and has been rejected. You must open your submission at this stage to review error messages and update your submission to correct them. Submit again and your submission will once again be 'Awaiting Acceptance.'
- **Submission Override** – If you are unable to resolve an error because the information you entered is already correct, or an error is preventing you from correcting your information, you may request a manual override. Send your request to one of the following email addresses:
  - [spl@fda.hhs.gov](mailto:spl@fda.hhs.gov) for non-GDUFA related documents
  - [CDERefacility@fda.hhs.gov](mailto:CDERefacility@fda.hhs.gov) for GDUFA documents

If your request is granted, the file will be accepted by the FDA. You will **ONLY** be notified via e-mail if your request is **NOT** granted. A successfully overridden submission will change to the 'Submission Successful' status.

### 3.3 Submission Header Information

At the top of every submission is a pre-generated set of information:

— HEADER DETAILS			
Document Type: *	HUMAN OTC DRUG LABEL	Version Number: *	1
Set ID: *	0ac4630f-6fa2-a749-e063-fa95b40a3a84	Effective Date: *	11-22-2023 
Root ID: *	0ac4630f-6fa3-a749-e063-fa95b40a3a84	<a href="#">Generate New</a>	

1. **Set ID**: A 'Globally Unique Identifier' (GUID) that remains the same for each submission 'set,' which is a group of submission versions. When you submit a different version of a submission, the set ID stays the same through each new version.

2. **Root ID:** A GUID that is generated uniquely for every single submission that is submitted to the FDA. When you create a new submission or submit a new version of a previous submission, the root ID will change every time (unlike the set ID).
3. **Version Number:** A number greater than zero that provides a sequence to the versions of the document. Any number can be inputted here, and the next version will automatically continue upward from that number (ex: 23, 24, 25, etc).
4. **Effective Date:** The date this form is created.

### 3.4 Submission Help

There are many ways to find assistance during the submission process:

- **Help Text/Tool Tips:** You can click on the underlined title text beside every field in any FDA Direct submission. An informational box will display to help you understand what to enter into each field:

**PRODUCT DATA ELEMENTS**

**NDC Product Code:** \*

**Proprietary Name:** \*

**Non Proprietary Name:** \*

**Suffix:**

**DEA Schedule:** -- Select DEA Schedule -- v

**Dosage Form:** \* -Select Dosage Form- v

**NDC Product Code**

The NDC is the official FDA 10-digit 3 segment number assigned to all drug products. It is different from the 11 digit alternate version of the NDC used by CMS and the payer industry. The NDC Product Code is the first 2 segments of the NDC. It is the 4 or 5 digit NDC Labeler Code assigned to the company whose name is on the label and the 3 or 4 digit product code segment of the NDC identifying the formulation and dosage form, separated by a hyphen. Before entering the NDC Product Code, make sure the leading zero added to any segment to create the 11 digit version has been removed.

**Examples:** 12345-678, 23456-7890, 0576-1234

- Tutorials/User Guide: This User Guide is a complete and detailed info guide on all aspects of FDA Direct. Recommended for first-time users of FDA Direct.

Tutorials will show you how to complete specific actions like creating an account or submitting a drug product listing. They are less detailed than this User Guide, and in slideshow format. Recommended for users familiar with FDA Direct who may want a quick refresher.

Both the User Guide and tutorials can be found in two places:

- In the *Quick Links* section below the login area on the [FDA Direct homepage](#):

**FDA Direct**  
CDER Direct & Cosmetics Direct

**LOGIN**

Username:

Password:

[Forgot your password?](#)

☐ [I accept the Terms of Service](#)

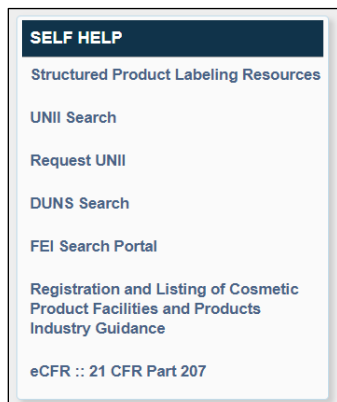
**LOGIN**

OR

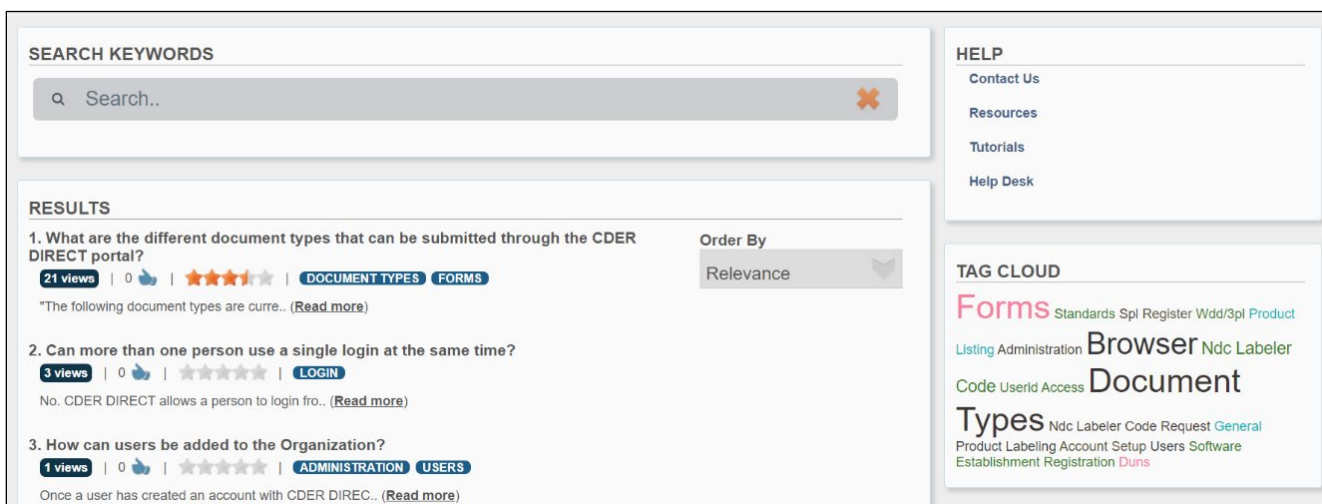
**CREATE NEW ACCOUNT**

**Quick Links:** [Resources](#) | [Tutorials](#) | [FAQs](#) | [CDER Direct Help Desk](#) | [Cosmetic Direct Help Desk](#)

- Under the *Self Help* section on the left menu (after you log in):



- FAQs:** Answers to the most commonly asked questions about FDA Direct. You can use the keyword search bar at the top of the page to find a question related to your issue. The user guide, tutorials, and other helpful information can also be accessed from this page (right side menu).



- Resource Links:** Useful links to official submission-related guidance, DUNS & FEI numbers, and so on.
- Help Desk:** If none of the above resources can help with a particular error or question, you may contact the Help Desk at either [cdirect@fda.hhs.gov](mailto:cdirect@fda.hhs.gov) (CDER Direct, Combination accounts), or [cosmeticsdirect@fda.hhs.gov](mailto:cosmeticsdirect@fda.hhs.gov) (Cosmetics Direct accounts).

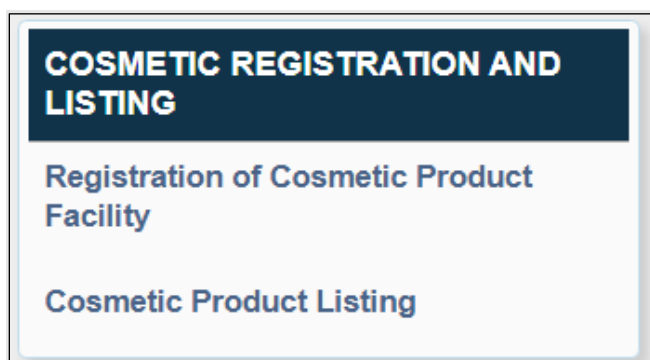
## 4 COSMETIC REGISTRATION AND LISTING

### 4.1 Cosmetic Registration and Product Listing SPL

On December 29, 2022, the President signed the Consolidated Appropriations Act, 2023 (Pub. L. 117-328) into law, which included the Modernization of Cosmetics Registration Act of 2022 (MoCRA). Among other provisions, MoCRA added section 607 to the Federal Food, Drug, and Cosmetic Act (FD&C Act), establishing requirements for cosmetic product facility registration and cosmetic product listing.

Section 607(a) of the FD&C Act requires every person that owns or operates a facility that engages in the manufacturing or processing of a cosmetic product for distribution in the United States to register each facility with FDA. Section 607(c) of the FD&C Act requires that for each cosmetic product, the responsible person submit to FDA “a cosmetic product listing.” Certain small businesses, as defined in section 612 of the FD&C Act, are exempt from the registration and listing requirements. [Click here](#) to learn more about MoCRA.

This free tool allows you to create and submit the following types of data directly to the FDA: Registration of Cosmetic Product Facility and Cosmetic Product Listing. This system will provide information to FDA about cosmetic product manufacturers/processors and cosmetic products on the market.



The Cosmetic Registration and Listing SPL submission template can be used for the following purposes:

#### Document Types

- **Cosmetic Facility Registration (INITIAL):** Every person that, on December 29, 2022, owns or operates a facility that engages in the manufacturing or processing of a cosmetic product for distribution in the United States must register each facility no later than December 29, 2023 (section 607(a)(1)(A) of the FD&C Act).  
Every person that owns or operates a facility that first engages, after December 29, 2022, in manufacturing or processing of a cosmetic product for distribution in the United States, must register such facility within 60 days of first engaging in such activity or by February 27, 2024, whichever is later (section 607(a)(1)(B) of the FD&C Act).
  - **PLEASE NOTE:** Cosmetic Facility Registration (Initial) is preselected when entering the SPL application.
  - **Additional note:** On November 8, 2023, FDA issued a guidance for industry titled “Compliance Policy for Cosmetic Product Facility Registration and Cosmetic Product Listing.” This guidance explains that FDA does not intend to enforce the requirements under section 607 of the FD&C Act related to cosmetic product facility registration until July 1, 2024.
- **Cosmetic Facility Registration (ABBREVIATED REGISTRATION RENEWAL):** FDA is providing for an abbreviated renewal of registrations when there have not been any updates to the registration since the most recent facility registration submission, as required under section 607(a)(4) of the FD&C Act
- **Cosmetic Facility Registration (AMENDMENT):** Every person who is required to register must update their registration within 60 days of any changes to the information required for registration (section 607(a)(4) of the FD&C Act) (an “amended” registration). This includes any changes that result in cancellation of the registration.
- **Cosmetic Facility Registration (BIENNIAL REGISTRATION RENEWAL):** Every person who is required to register a facility must renew such registration biennially (i.e., every two years) (section 607(a)(2) of the FD&C Act).
- **Cosmetic Facility Registration (CANCELLATION):** Every person who is required to register must update their registration within 60 days of any changes to the information required for registration (section 607(a)(4) of the FD&C Act). This includes any changes that result in cancellation of the registration.

## Product Listing

- **COSMETIC-(INITIAL):** The responsible person of a cosmetic product that is marketed on December 29, 2022, must submit a cosmetic product listing, or ensure such submission is made, not later than December 29, 2023, or for a cosmetic product that is first marketed after December 29, 2022, within 120 days of marketing such product in interstate commerce (section 607(c)(2) of the FD&C Act). Consistent with the approach for registration of a facility that starts manufacturing or processing cosmetic products

after December 29, 2022 (section 607(a)(1)(B) of the FD&C Act), FDA expects the product listing for a cosmetic product to be submitted within 120 days after marketing the product, or within 120 days after December 29, 2023, whichever is later.

Note: On November 8, 2023, FDA issued a guidance for industry titled "Compliance Policy for Cosmetic Product Facility Registration and Cosmetic Product Listing." This guidance explains that FDA does not intend to enforce the requirements under section 607 of the FD&C Act related to cosmetic product listing until **July 1, 2024**.

- **PLEASE NOTE:** Cosmetic (Initial) is preselected when entering the SPL application form.
- **COSMETIC-ABBREVIATED RENEWAL:** FDA is providing for an abbreviated process for the renewal of any cosmetic product listing, as required under section 607(c)(3), for which there has been no change since the responsible person submitted the previous listing.
  - **PLEASE NOTE:** When making this selecting an ALERT box will appear, *"By selecting this document type, you are certifying that no changes have been made to your product listing since the previous listing was submitted"*.
- **COSMETIC-UPDATE (CHANGES TO LISTING or DISCONTINUATION OF LISTING) (annual):** The responsible person must provide any updates to such listing annually (CHANGES TO LISTING or DISCONTINUATION OF LISTING) (section 607(c)(5) of the FD&C Act). This includes an update that the product was discontinued.

### 4.1.1 Registering a New Cosmetic Product Facility

1. Log in to FDA Direct
2. Select '**Registration of Cosmetic Product Facility**' under *Cosmetic Registration and Listing* section:

3. Click '**Create New/Upload File**':

You will be given two options:

4. Select '**Create a new Cosmetic Product Facility Registration using a blank form**' then click '**Continue**':

5. A blank template will display with required (\*) and optional fields:



All Submissions   Registration of Cosmetic Product Facility   **SPL Submission**

**SAVE AS DRAFT**   **<< RETURN**

Note: Click on the Data Element Name for each field below to display instructions and helpful hints for filling out this Registration of Cosmetic Product Facility submission. A red asterisk (\*) indicates a required field.

For assistance with validation errors contact [cosmeticsdirect@fda.hhs.gov](mailto:cosmeticsdirect@fda.hhs.gov) For general questions regarding electronic registration and listing of cosmetic product facilities, contact [eRLC@fda.hhs.gov](mailto:eRLC@fda.hhs.gov)

### DOCUMENT TYPE DETAILS

Document Type: \* COSMETIC FACILITY REGISTRATION

Set ID: \* 0827b516-d22e-0dd2-e063-fa95b40ae12b [Generate New](#)   Version Number: \* 1

Root ID: \* 0827b516-d22f-0dd2-e063-fa95b40ae12b [Generate New](#)   Effective Date: \* 10-20-2023

### REGISTRATION DETAILS

Is this a facility registration for small business (optional registration)?: ☐ Yes ☐ No

Facility Name: \*    Parent Company Name (if applicable):

Facility FEI Number: \*    Facility D&B D-U-N-S Number:

### FACILITY CONTACT DETAILS

Name of the Owner and/or Operator of the Facility: \*    Facility Country: \* -Select Country-

Facility Email: \*    Facility Street Address: \*

Facility Phone Number (Include Area/Country Code): \*    Facility City: \*

Facility State or Province:

Facility Zip/Postal Code:

6. Selecting the **'Save As Draft'** button on the top right will save your work without submitting it. The **'Return'** button will send you back to the main Establishment Registration SPL page without saving your changes.

**SAVE AS DRAFT**   **<< RETURN**

7. Cosmetic Facility Registration (**INITIAL**) is preselected. The Set ID, Root ID, Version Number, and Effective Date fields will always auto-populate:

### DOCUMENT TYPE DETAILS

Document Type: \* COSMETIC FACILITY REGISTRATION

Set ID: \* 0827b516-d22e-0dd2-e063-fa95b40ae12b [Generate New](#)   Version Number: \* 1

Root ID: \* 0827b516-d22f-0dd2-e063-fa95b40ae12b [Generate New](#)   Effective Date: \* 10-20-2023

Select words are underlined and provide definitions; select them to open the tool tip will pop up.

- a. Set ID\*: **This field is auto generated by the system.** The Set ID uniquely identifies a group of versions of an SPL submission. When an SPL submission changes, a new Root ID is assigned to the new SPL submission, but the Set ID in the original SPL submission also is used. The Set ID is a Globally Unique Identifier (GUID). A GUID is a string of numbers and lower- case letters generated using a specifically defined mathematical algorithm to ensure a very low probability of identical GUID used in the same system. An example is: 9aa9d2e6-6982-48e5-831d-dbe7c04a14ed.
  - b. Root ID\*: **This field is auto generated by the system.** The Root ID uniquely identifies a specific SPL file. Each new version of an SPL file has a new id root. The id root is a Globally Unique Identifier (GUID). A GUID is a string of numbers and lower-case letters generated using a specifically defined mathematical algorithm to ensure a very low probability of identical GUID used in the same system. An example is: 9aa9d2e6-6982-48e5-831d-dbe7c04a14ed.
  - c. Version Number\*: The Version Number gives sequential order to the different versions of an SPL submission. The version number is a whole number greater than zero, such as 6, 7, or 8. The version number is increased with each change to the SPL submission. Enter a number greater than zero (0) in the Version Number field.
  - d. Effective Date\*: The date the submission is created, users can modify it. However, the system will only use the actual registration date submitted to FDA. It also provides a date reference to the SPL version. Select the date by clicking on the calendar icon. Once an SPL has been submitted, this date cannot be edited by users.
8. Fill in all the blank fields in the Registrant Details and Facility Contact Details section:

**REGISTRATION DETAILS**

Is this a facility registration for small business (optional registration)?: ☐ Yes ☐ No

Facility Name: \*  Parent Company Name (if applicable):

Facility FEI Number: \*  Facility D&B D-U-N-S Number:

**FACILITY CONTACT DETAILS**

Name of the Owner and/or Operator of the Facility: \*  Facility Country: \*

Facility Email: \*  Facility Street Address: \*

Facility Phone Number (Include Area/Country Code): \*  Facility City: \*

Facility State or Province:

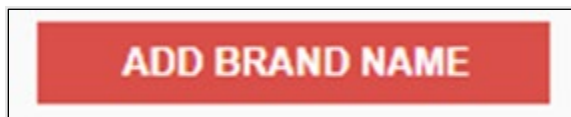
Facility Zip/Postal Code:

- a. Facility Name\*: Enter the complete name of the existing facility.
- b. Facility FEI Number\*: Enter the existing 7 to 10-digit facility FEI number. The FEI number is a unique identifier assigned by the FDA to identify firms associated with FDA-regulated products. To facilitate the registration process, the owner or operator of a facility will need to obtain an FEI number before submitting the facility registration.
  - To determine if an entity already has an FEI number, please refer to the [FEI Search Portal](#).
  - If your firm does not have an FEI number assigned by FDA, see "[How can I request an FEI?](#)" at [FEI Search Portal](#).
- c. Parent Company Name: (optional field) Enter the parent company's name if available.
- d. Facility D&B D-U-N-S Number: (optional field) Enter the existing 9 digital facility DUNS number. Obtain a DUNS number: <https://www.dnb.com>
- e. Name of the Owner and/or Operator of the Facility\*: Enter the facility owner's name and/or the name of the facility operator.
- f. Facility Email\*: Enter the facility's email address.
- g. Facility Phone Number\*: Enter the facility's phone number including the area or the country code. The format for Phone number should be <Country Code>-<Area Code>-<Subscriber Number>. For example, in the U.S. the phone number would be 1-999-9999999 or 1-999-999-9999
- h. Facility Country\*: Select facility's country name where the facility is physically located.

- i. Facility Street Address\*: Enter the complete information of the street where the facility is physically located.
  - j. Facility City\*: Enter the complete name of the city where the facility is physically located.
  - k. Facility State or Province: Enter the complete name of the state or province where the facility is physically located.
  - l. Facility Zip/Postal Code: Enter the postal code or the zip code where the facility is physically located.
9. Fill in all the blank fields in the U.S. Agent Contact Information section (for foreign facilities):

US AGENT			
U.S. Agent Name (for foreign facilities): *	<input type="text"/>	U.S. Agent Phone Number (Include Area Code): *	<input type="text"/>
U.S. Agent Email (if not available, enter "N/A") *	<input type="text"/>	U.S. Agent Phone Extension:	<input type="text"/>

- a. U.S. Agent Name (for foreign facilities)\*: For foreign facilities, enter the business name of the U.S. agent.
  - b. U.S. Agent Email (if not available, enter "N/A")\*: For foreign facilities, enter the email address for the US agent contact person. If email address not available, enter N/A.
  - c. U.S. Agent Phone Number (Include Country/Area Code)\*: For foreign facilities, enter the U.S. agent telephone number including the country code and the area code. The format for Phone number should be <Area Code>-<Subscriber Number>. For example, in the U.S. the phone number would be 1-999-9999999 or 1-999-999-9999.
  - d. U.S. Agent Phone Extension: (optional Field) For foreign facilities, enter U.S. agent phone extension, if any.
10. To add multiple facility brand names to your SPL template, click the '**Add Brand Name**' button in *Facility Brand Names* section:



11. A blank template titled *Brand Information* will display. Fill in the required fields and select all that apply.

- a. Brand Name of Cosmetic Product\*: Enter brand names under which cosmetic products manufactured or processed in the facility are sold.
  - b. Responsible Person (As listed on the label)\*: Enter the responsible person name as it appears on the label.
  - c. Product Category Code(s) (Select all that apply)\*: Select the product category or categories for this brand name. Each main product category has a sub-product category. And some sub-product categories have sub-sub product categories, select the one that applies to this brand name. (i.e., leave-on or rinse-off).
12. Fill in all the blank fields in the Brand Information section.
13. Select the (+) of Product Category Code(s) and select all that apply:
- a. (01) - (16) are Main Product Categories

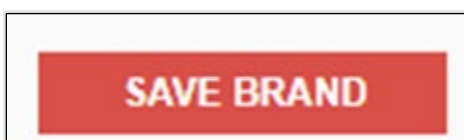
☐ (01) Baby products  
☐ (a) Baby shampoos  
☐ (b) Lotions, oils, powders, and creams  
☐ (c) Baby wipes  
☒ (d) Other baby products  
☐ (02) Bath preparations  
☐ (a) Bath oils, tablets, and salts  
☐ (b) Bubble baths  
☐ (c) Bath capsules  
☐ (d) Other bath preparations  
☐ (03) Eye makeup preparations(other than children's eye makeup preparations)  
☐ (a) Eyebrow pencils  
☐ (b) Eyeliners  
☐ (c) Eye shadows  
☐ (d) Eye lotions  
☐ (e) Eye makeup removers  
☐ (f) False eyelashes  
☐ (g) Mascaras  
☐ (h) Eyelash and eyebrow adhesives, glues, and sealants  
☐ (i) Eyelash and eyebrow preparations (primers, conditioners, serums, fortifiers)  
☐ (j) Eyelash cleansers  
☐ (k) Other eye makeup preparations

- b. (a) - (k) are Sub Product Categories. If that sub product category has a Sub-Subcategory, (+) can be selected to display the Sub-Subcategories (select if one or both apply; if none, leave unselected):

- Leave-on
- Rinse-off

☐ (06) Hair preparations (non-coloring)  
☐ (a) Hair conditioners  
☒ 1. Leave-on  
☐ 2. Rinse-off

14. Click '**SAVE BRAND**', located at the top right of the page:



15. The platform will return to the SPL Submission page with the verification banner 'Product brand saved.'

Product brand saved.

Under 'FACILITY BRAND NAMES' the inputted information will be present.

EDIT	BRAND NAME	RESPONSIBLE PERSON NAME	PRODUCT CATEGORY CODE(S)
	Company Name	Responsible Person (as listed on the label)	<ul style="list-style-type: none"> <li>(06) Hair preparations (non-coloring) - (b) Hair sprays (aerosol fixatives)</li> <li>(06) Hair preparations (non-coloring) - (c) Hair straighteners</li> <li>(06) Hair preparations (non-coloring) - (e) Rinses (non-coloring)</li> <li>(06) Hair preparations (non-coloring) - (f) Shampoos (non-coloring) - 2. Rinse-off</li> <li>(07) Hair coloring preparations - (a) Hair dyes and colors (all types requiring caution statement and patch test)</li> <li>(07) Hair coloring preparations - (b) Hair tints</li> <li>(07) Hair coloring preparations - (e) Hair color sprays (aerosol)</li> </ul>

1 - 1

To edit the information, select the pencil icon under the 'EDIT' column.



16. To add more business operations, go to 'FACILITY BRAND NAMES' and select '**ADD BRAND NAME.**'

17. In the Confirmation Statement section, fill in the following blank fields

- Date: (Optional field) Enter today's date, two-digit month, two-digit day, and four-digit year.
- Name of Submitter: (optional field) Enter the full name of the submitter.


CONFIRMATION STATEMENT

The data and information in this submission have been reviewed and, to the best of my knowledge, are certified to be true and accurate. I agree to report changes to this information and renew as required under section 607 of the Federal Food, Drug and Cosmetic Act.

WARNING: A willfully false statement is a criminal offense, [U.S. Code, Title 18, Section 1001](#).

☐ I Agree

Date



Name of Submitter

18. Click 'AGREE' after reading and understanding the confirmation statement:



19. If you would like to list additional contact information for an authorized agent, go to the **'Additional Contact Information For Authorized Agent'** section and fill in the following blanks:

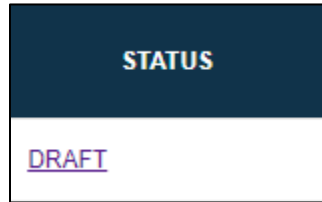
— ADDITIONAL CONTACT INFORMATION FOR AUTHORIZED AGENT			
Additional Contact Name:	<input type="text"/>	Phone Number (Include Country/Area Code):	<input type="text"/>
Email:	<input type="text"/>	Phone Extension:	<input type="text"/>

- 20.
- Additional Contact Name: (optional field) Enter an additional contact information for individuals associated with the registration.
  - Email: (optional field) Provide the additional contact person's email address
  - Phone Number (Include Country/Area Code): (optional field) Enter the additional contact person's phone number including the country code and the area code. The format for Phone number should be <Country Code>-<Area Code>-<Subscriber Number>. For example, in the U.S. the phone number would be 1-999-9999999 or 1-999-999-9999.
  - Phone Extension: (optional field) Enter additional contact person's phone extension, if any.
21. Return to the top of the SPL Submission page where you can do the following:

<b>SUBMIT SPL</b>	<b>SAVE AS DRAFT</b>	<b>SAVE AND VALIDATE</b>	<b>DELETE</b>	<b>&lt;&lt; RETURN</b>
-------------------	----------------------	--------------------------	---------------	------------------------

- 'SUBMIT SPL'**
  - Submit SPL will send the submission to FDA for additional validation and processing.
- 'SAVE AS DRAFT'**
  - Save Draft button allows you to save your work, preserving your progress without submitting it to the FDA.
  - PLEASE NOTE:** Click **'SAVE AS DRAFT'** from any screen during the process of registering the cosmetic product facility. The system saves all the information you inputted and will bring you back to the homepage. The status column will be in **'DRAFT'**.





- c. **'SAVE AND VALIDATE'**
    - You can check your SPL for an initial validation before submitting to FDA. This option does not automatically submit your SPL to FDA, even if it passes the initial validation, but scans for certain errors prior to the actual submission.
  - d. **'DELETE'**
    - Delete will remove the submission from your account.
22. Click **'RETURN'** at any time to return to the Registration of Cosmetic Product Facility main page.

### 4.1.1.1 Save and Validate

23. Click **'SAVE AND VALIDATE'** if you want to check for errors with your SPL. To submit your SPL to FDA, skip to step 29.
- PLEASE NOTE:** This option is only for an initial validation of your SPL before submitting to FDA. It does not automatically submit your SPL to FDA, even if it passes the initial validation, but scans for certain errors prior to the actual submission. To submit your data to the FDA, select "Submit SPL".
24. The Registration of Cosmetic Product Facility homepage will have the following details shown below. The status of your SPL will be in **'VALIDATION IN PROGRESS'**. A yellow message will appear across your screen stating, "Additional in-depth validation by the FDA is in progress. Check back on the status after a few minutes by refreshing the page or logging back into the system."

The screenshot shows the FDA Direct Cosmetics Direct homepage. At the top, there is a blue header with the FDA logo and 'FDA Direct Cosmetics Direct'. Below the header, a yellow banner displays the message: "Additional in-depth validation by the FDA is in progress. Check back on the status after a few minutes by refreshing the page or logging back into the system." The main content area is titled 'REGISTRATION OF COSMETIC PRODUCT FACILITY' and includes a search bar with a 'GO' button and an 'ACTIONS' dropdown. Below this is a table with the following data:

STATUS	SET ID	ROOT ID	SUBMISSION ID	VERSION	FACILITY NAME	FACILITY FEI	FACILITY DUNS	DOCUMENT TYPE
<a href="#">VALIDATION IN PROGRESS</a>	0c066ca6-fbda-b44d-e063-6a94a10ab7ab	0c066ca6-fbda-b44d-e063-6a94a10ab7ab		1	FACILITY NAME	1234567890	-	COSMETIC FACILITY REGISTRATION

25. Once the system has completed validation, the status, **'VALIDATION IN PROGRESS'**, will change to **'READY FOR SUBMISSION'**.

The screenshot shows the FDA Direct Cosmetics Direct homepage with a green banner at the top that says: "Click here to view submissions that have completed validation." Below the banner is a table with the following data:

STATUS	SET ID	ROOT ID	SUBMISSION ID	VERSION	FACILITY NAME	FACILITY FEI	FACILITY DUNS	DOCUMENT TYPE
<a href="#">READY FOR SUBMISSION</a>	0c066ca6-fbda-b44d-e063-6a94a10ab7ab	0c066ca6-fbda-b44d-e063-6a94a10ab7ab		1	FACILITY NAME	1234567890	-	COSMETIC FACILITY REGISTRATION

- If the system finds any errors, the status field will change to **'VALIDATION FAILURE'**, see step 32 for additional details.
26. Click **'READY FOR SUBMISSION'**, the homepage will change to reflect the following:

U.S. Department of Health & Human Services

Welcome XTUJA\_AK09ECAC - OCAC | Logout

**FDA Direct Cosmetics Direct**

All Submissions Registration of Cosmetic Product Facility **SPL Submission**

**EDIT SUBMIT SPL << RETURN**

Note: Click on the Data Element Name for each field below to display instructions and helpful hints for filling out this Registration of Cosmetic Product Facility submission form. A red asterisk (\*) indicates a required field.

For assistance with validation errors and general questions regarding electronic registration and listing of cosmetic product facilities and products, contact [cosmeticsdirect@fda.hhs.gov](mailto:cosmeticsdirect@fda.hhs.gov)

Note: This submission has passed the initial validation but has not been actually submitted to FDA. Click on "Submit SPL" to submit.

**DOCUMENT TYPE DETAILS**

Document Type: \* COSMETIC FACILITY REGISTRATION

Set ID: \* 0c066ca6-8bda-b44d-e063-6a94af0ab7ab

Version Number: \* 1

Root ID: \* 0c066ca6-8bda-b44d-e063-6a94af0ab7ab

Effective Date: \* 12-08-2023

**REGISTRATION DETAILS**

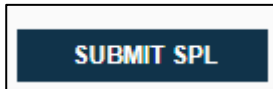
**CONFIRMATION STATEMENT**

**ADDITIONAL CONTACT INFORMATION FOR AUTHORIZED AGENT**

- a. The system will generate a message stating that, *'This submission has passed the INITIAL VALIDATION but has NOT been ACTUALLY SUBMITTED TO FDA. Click ON "SUBMIT SPL" to SUBMIT.'*

#### 4.1.1.2 Submit SPL to FDA

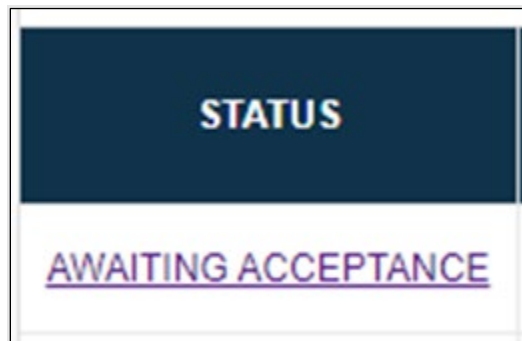
27. Click '**SUBMIT SPL**' if you are ready to submit your SPL to FDA.



- a. A green message will appear across your screen stating, "Your submission has been sent to FDA for additional validation and processing. Check the status of your submission after a few minutes by refreshing the page or logging back into the system. You will also receive an email from FDA when the processing is complete."



- b. The status field should read '**AWAITING ACCEPTANCE**'.



### 4.1.1.3 Submission Accepted

28. The status column will change to '**SUBMISSION ACCEPTED**' after the registration process has been successfully completed. A '**SUBMISSION ID**' will be given to all accepted submissions.

REGISTRATION OF COSMETIC PRODUCT FACILITY								
For assistance with validation errors and general questions regarding electronic registration and listing of cosmetic product facilities and products, contact <a href="mailto:cosmeticsdirect@fda.hhs.gov">cosmeticsdirect@fda.hhs.gov</a>								
<input type="text"/> <input type="button" value="GO"/> <input type="button" value="ACTIONS"/>								
STATUS	SET ID	ROOT ID	SUBMISSION ID	VERSION	FACILITY NAME	FACILITY FEI	FACILITY DUNS	DOCUMENT TYPE
<a href="#">SUBMISSION ACCEPTED</a>	0c066ca6-f8da-b44d-e063-6a94af0ab7ab	0c066ca6-f8db-b44d-e063-6a94af0ab7ab	cm1397680542.5304619872@direct	1	FACILITY NAME	1234567890	-	COSMETIC FACILITY REGISTRATION

29. Click on '**SUBMISSION ACCEPTED**' to **VIEW SPL** and **DOWNLOAD SPL**.

- a. To clone and create a new version of your successfully submitted SPL, click '**CREATE A NEW VERSION**'



- **PLEASE NOTE:** After selecting, your SPL will be successfully cloned and the ROOT ID, VERSION NUMBER, and EFFECTIVE DATE will change. All other fields will retain the same information from the initial successfully submitted SPL.

DOCUMENT TYPE DETAILS			
Document Type:	COSMETIC FACILITY REGISTRATION		
Set ID:	0c066ca6-f8da-b44d-e063-6a94af0ab7ab	Generate New	Version Number:
Root ID:	0c06eb2a-30c9-7866-e063-6b94af0af38e	Generate New	Effective Date:
			12-08-2023

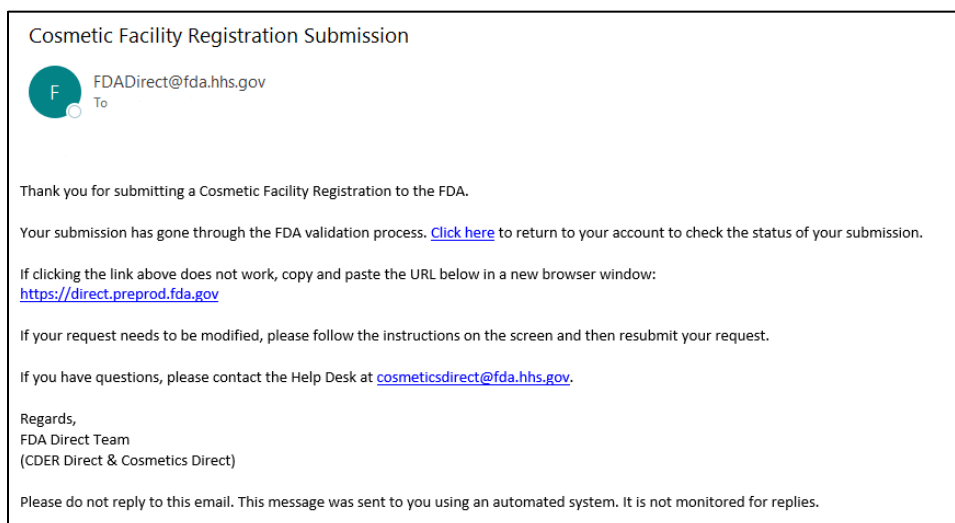
- b. To view your SPL, click '**VIEW SPL**'



- c. To download your SPL for your records, click '**DOWNLOAD SPL**'



- d. When your submission has been validated and accepted by the FDA. You will receive an email to your account email address when the submission status changes.



#### 4.1.1.4 Submission Failed

30. If the status column changes to **'SUBMISSION FAILED'**, your submission has not passed the FDA's requirements and has been rejected.

STATUS	SET ID	ROOT ID	SUBMISSION ID	VERSION	DOCUMENT LABEL
<a href="#">SUBMISSION FAILED</a>	0c066ca8-f8da-b44d-e063-6a64af0ab7ab	0c066eb2a-30c9-7866-e063-8064af0af38e	cm6301528479.1247385960@direct	2	COSMETIC FACILITY REGISTRATION

- a. You must open your submission at this stage to review error messages and update your submission to correct them.

**2 ERRORS HAVE OCCURRED** ✕

- Telephone numbers must have any extensions separated by "ext=" (see Uniform Resource Identifier (URI) for Telephone Numbers RFC 3966). [\(Go to error\)](#)
- US telephone numbers must have the format +1-aaa-bbb-cccc where "aaa" must be the area code, and "bbb-cccc" the usual digit grouping of a local phone number. [\(Go to error\)](#)

- b. Submit again and your submission will once again be **'AWAITING ACCEPTANCE.'**

31. If the status column changes to **'SUBMISSION ACCEPTED'**, refer to step 29 for additional information.

#### 4.1.1.5 Validation Failure

32. After clicking '**SAVE AND VALIDATE**', the registration of cosmetic product facility home page will have the following details as shown below. The status column will be in **VALIDATION IN PROGRESS**. However, if the system finds any errors the status will change to **VALIDATION FAILURE**.

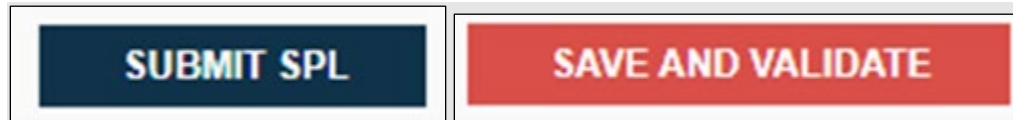
STATUS	SET ID	ROOT ID	SUBMISSION ID	VERSION	FACILITY NAME	FACILITY FEI	FACILITY DUNS	DOCUMENT TYPE
<a href="#">VALIDATION FAILURE</a>	0c066ca6-f8da-b44d-e063-6a94af0ab7ab	0c066ca6-f8db-b44d-e063-6a94af0ab7ab		1	FACILITY NAME	1234567890	-	COSMETIC FACILITY REGISTRATION

33. Click '**VALIDATION FAILURE**', the system will provide a list of errors that need to be fixed before submitting the SPL:

**2 ERRORS HAVE OCCURRED**

- Enter a valid Facility Phone Number. The phone number format should be <CountryCode>-<AreaCode>-<SubscriberNumber> ([Go to error](#))
- Facility Zip/Postal Code should be 5 digits with optionally a dash followed by 4 digits. ([Go to error](#))

- a. After reviewing and fixing the errors, you can select '**SUBMIT SPL**' to resubmit or '**SAVE AND VALIDATE**' to check of any additional errors.

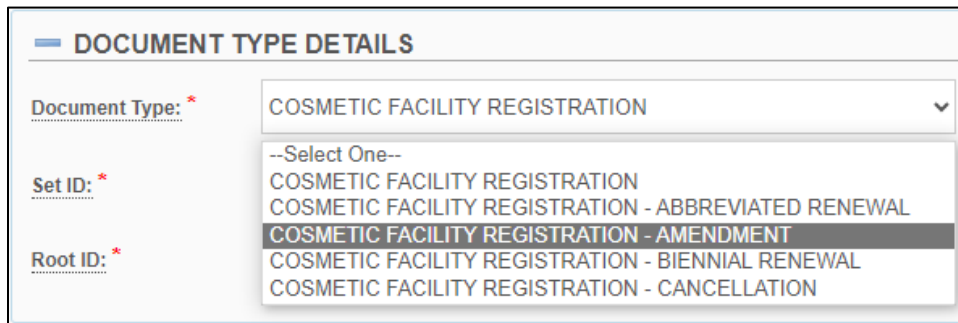




### 4.1.2 Amending Cosmetic Product Facility Registration

This document type should be selected if you are updating your registration within 60 days of any changes to the information required for registration (section 607(a)(4) of the FD&C Act)

1. Under Document Type, select '**COSMETIC PRODUCT FACILITY REGISTRATION – AMENDMENT**'.



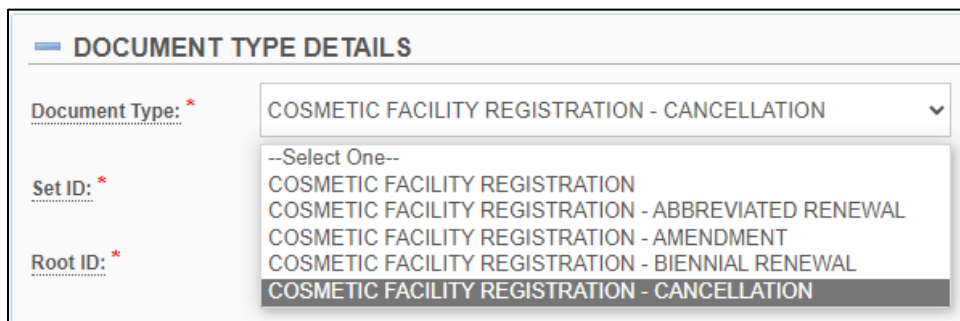
The screenshot shows a form titled "DOCUMENT TYPE DETAILS". It contains three fields: "Document Type:", "Set ID:", and "Root ID:". The "Document Type:" field has a dropdown menu open, showing the following options: "--Select One--", "COSMETIC FACILITY REGISTRATION", "COSMETIC FACILITY REGISTRATION - ABBREVIATED RENEWAL", "COSMETIC FACILITY REGISTRATION - AMENDMENT" (which is highlighted), "COSMETIC FACILITY REGISTRATION - BIENNIAL RENEWAL", and "COSMETIC FACILITY REGISTRATION - CANCELLATION".

2. Repeat steps 7 – 26.
3. Refer to steps 27 – 33 for Submit to FDA instructions.

### 4.1.3 Amending Cosmetic Product Facility Cancellation

This document type should be selected if you are updating your registration within 60 days of any changes to the information required for registration (section 607(a)(4) of the FD&C Act) that result in cancellation of the registration.

1. Under Document Type, select '**COSMETIC PRODUCT FACILITY REGISTRATION – AMENDED – CANCELLATION**'.



**DOCUMENT TYPE DETAILS**

Document Type: \* COSMETIC FACILITY REGISTRATION - CANCELLATION

Set ID: \* --Select One--

Root ID: \* COSMETIC FACILITY REGISTRATION

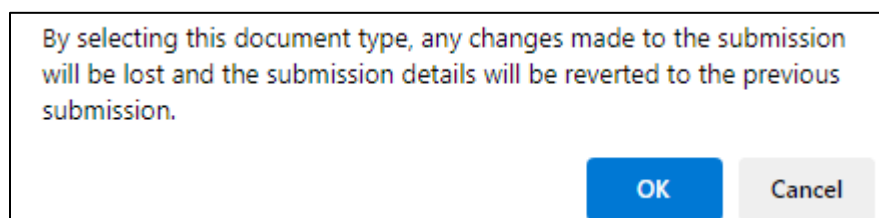
COSMETIC FACILITY REGISTRATION - ABBREVIATED RENEWAL

COSMETIC FACILITY REGISTRATION - AMENDMENT

COSMETIC FACILITY REGISTRATION - BIENNIAL RENEWAL

COSMETIC FACILITY REGISTRATION - CANCELLATION

2.
  - a. **PLEASE NOTE:** The following message will appear, “By selecting this document type, any changes made to the submission will be lost and the submission details will be reverted to the previous submission.” Select '**OK**':



By selecting this document type, any changes made to the submission will be lost and the submission details will be reverted to the previous submission.

OK Cancel

3. After selecting '**OK**', the fields for Registration Details, Confirmation Statement, and Additional Contact Information for Authorized Agent will be grayed out and can no longer undergo changes.
4. Click '**SUBMIT SPL**' to submit your cancellation request to FDA.

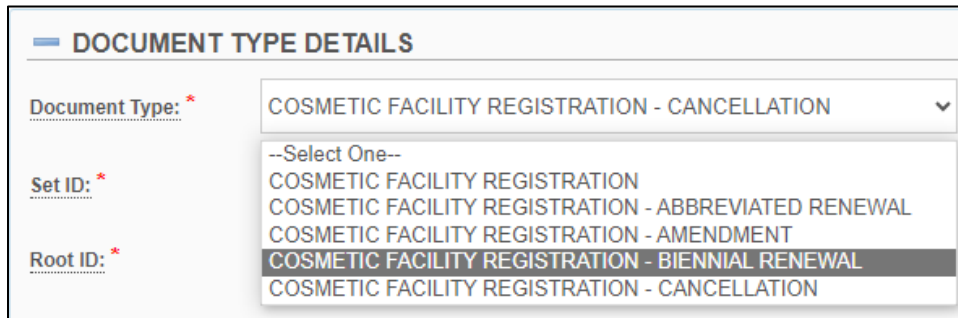


**SUBMIT SPL**

#### 4.1.4 Biennial Cosmetic Product Facility Registration Renewal

This document type should be selected to renew your registration biennially (i.e., every two years).

1. Under Document Type, select '**COSMETIC PRODUCT FACILITY REGISTRATION – BIENNIAL REGISTRATION RENEWAL**'.



The screenshot shows a form titled "DOCUMENT TYPE DETAILS". It contains three fields: "Document Type:", "Set ID:", and "Root ID:". The "Document Type:" field has a dropdown menu open, showing a list of options. The option "COSMETIC FACILITY REGISTRATION - BIENNIAL RENEWAL" is highlighted. The other options are "COSMETIC FACILITY REGISTRATION - CANCELLATION", "COSMETIC FACILITY REGISTRATION", "COSMETIC FACILITY REGISTRATION - ABBREVIATED RENEWAL", "COSMETIC FACILITY REGISTRATION - AMENDMENT", and "COSMETIC FACILITY REGISTRATION - CANCELLATION".

DOCUMENT TYPE DETAILS	
Document Type: *	COSMETIC FACILITY REGISTRATION - CANCELLATION
Set ID: *	--Select One--
Root ID: *	COSMETIC FACILITY REGISTRATION
	COSMETIC FACILITY REGISTRATION - ABBREVIATED RENEWAL
	COSMETIC FACILITY REGISTRATION - AMENDMENT
	<b>COSMETIC FACILITY REGISTRATION - BIENNIAL RENEWAL</b>
	COSMETIC FACILITY REGISTRATION - CANCELLATION

2. Repeat steps 7 – 26.
3. Refer to steps 27 – 33 for Submit to FDA instructions.

### 4.1.5 Abbreviated Cosmetic Product Facility Registration

This document type should be selected if there have not been any updates to the registration since the most recent facility registration submission as required under section 607(a)(4) of the FD&C Act.

1. Under Document Type, select '**COSMETIC PRODUCT FACILITY REGISTRATION – ABBREVIATED REGISTRATION RENEWAL**'.

**DOCUMENT TYPE DETAILS**

Document Type: \*  
 Set ID: \*  
 Root ID: \*

COSMETIC FACILITY REGISTRATION - BIENNIAL RENEWAL

--Select One--

- COSMETIC FACILITY REGISTRATION
- COSMETIC FACILITY REGISTRATION - ABBREVIATED RENEWAL**
- COSMETIC FACILITY REGISTRATION - AMENDMENT
- COSMETIC FACILITY REGISTRATION - BIENNIAL RENEWAL
- COSMETIC FACILITY REGISTRATION - CANCELLATION

2.
  - a. **PLEASE NOTE:** The following message will appear, “By selecting this document type, you are certifying that no changes have been made to your registration since the previous registration was submitted. Any changes made to the submission will be lost and the submission details will be reverted to the previous submission.”

By selecting this document type, you are certifying that no changes have been made to your registration since the previous registration was submitted. Any changes made to the submission will be lost and the submission details will be reverted to the previous submission.

OK Cancel

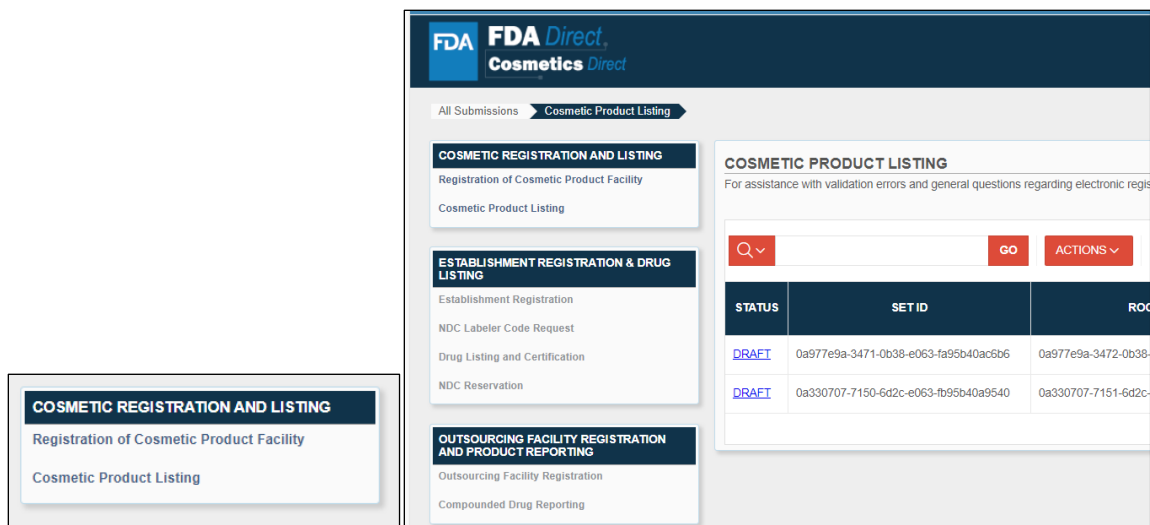
3. After selecting '**OK**', the fields for Registration Details, Confirmation Statement, and Additional Contact Information for Authorized Agent will be grayed out and can no longer undergo changes.
4. Click '**SUBMIT SPL**' to submit your request to FDA.

**SUBMIT SPL**

## 4.2 Cosmetics Product Listing

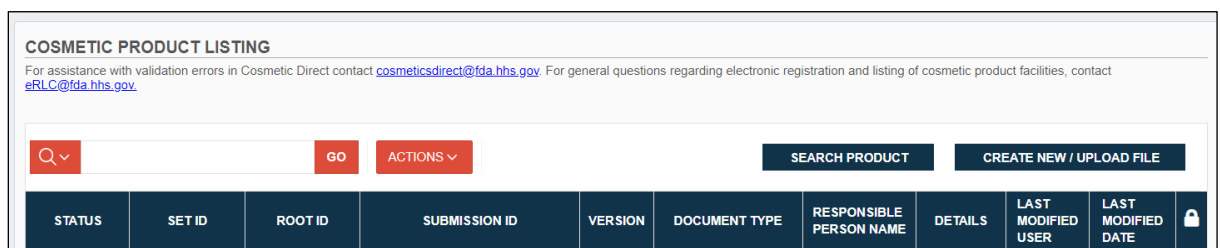
### 4.2.1 New Cosmetic Product Listing

1. Log in to FDA Direct.
2. Select '**Cosmetic Product Listing**' under *Cosmetic Registration and Listing* section, on the left side of the FDA Direct menu.



3. Navigate to the Cosmetic Product Listing Home Page.

AFTER selecting '**Cosmetic Product Listing**' under *Cosmetic Registration and Listing* section, on the left side of the FDA Direct menu. This will navigate the user to the **Cosmetic Product Listing Home Page**. The **Cosmetic Product Listing Home Page** will provide the ability to view all the previous product listing submissions based on the user's accessibility.



- a. Submission status: The status of each submission made to FDA. The status types are draft, validation in process, validation failure, ready for submission, and submission accepted.
- b. **Self-Help** box is also available at the bottom of the left side underneath all the submission boxes.



- This box contains articles and weblinks for additional information. It is also available on the FDA Direct home pages as well.
- c. **Search bar** is available on the Cosmetics Product Listing home page.



- A user can search any previous submission or current submission by providing the Set ID, Root ID, or the submission ID number.



- d. A product can be searched by select the **SEARCH PRODUCT** box next to **CREATE NEW/UPLOAD FILE**.

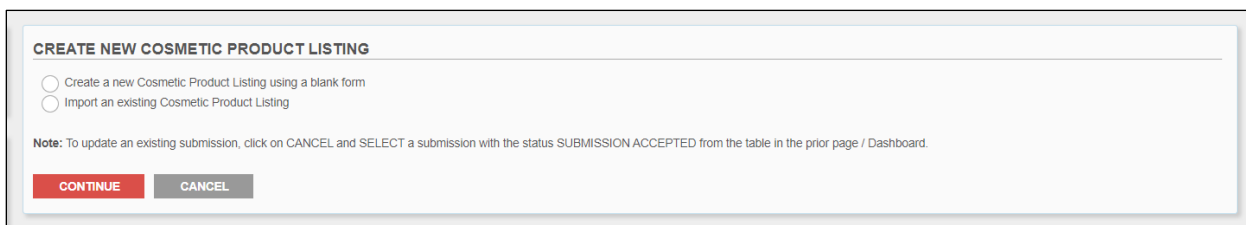
**PLEASE NOTE:** For assistance with validation errors in Cosmetic Direct contact [cosmeticsdirect@fda.hhs.gov](mailto:cosmeticsdirect@fda.hhs.gov). For general questions regarding electronic registration and listing of cosmetic product facilities, contact [eRLC@fda.hhs.gov](mailto:eRLC@fda.hhs.gov).

- e. Select '**CREATE NEW/UPLOAD FILE**' to begin the Cosmetics Product Listing submission process.



## 4.2.2 Create a New Cosmetic Product Listing

1. Click 'Create New/Upload File':



**CREATE NEW COSMETIC PRODUCT LISTING**

☐ Create a new Cosmetic Product Listing using a blank form  
☐ Import an existing Cosmetic Product Listing

**Note:** To update an existing submission, click on CANCEL and SELECT a submission with the status SUBMISSION ACCEPTED from the table in the prior page / Dashboard.

2. Click on the "CREATE NEW/UPLOAD FILE" button. This will open a new window where **you will be given two options: create a new/initial Cosmetic Product Listing or upload** an FDA-accepted SPL stored on your computer in a valid XML zip file. Importing an existing Cosmetic Product Listing SPL will be beneficial for bulk submission of multiple product listings under one submission.

SPL (Structured Product Labeling) is a document markup standard approved by Health Level Seven (HL7) and adopted by FDA as a mechanism for exchanging product and facility information.

- a. If you are '**Creating a New Cosmetic Product Listing using a blank form**', enter the required information.

This includes:

- The facility registration number (FEI) of each facility where the cosmetic product is manufactured or processed:
  - **PLEASE NOTE:** The responsible person will need to obtain the relevant facility registration number(s) for each facility where its cosmetic products are manufactured or processed, because the facility registration number(s) is required for the product listing submission. If the facility is exempt from registration, for example because it is a small business, and has no facility registration number, then facility name/address can be provided instead.
- The name and contact number of the responsible person and the name for the cosmetic product, as such name appears on the label.
- The applicable cosmetic category or categories for the cosmetic product
- A list of ingredients in the cosmetic product, including any fragrances, flavors, or colors, with each ingredient identified by the name, as required under section 701.3 of title 21, Code of Federal Regulations (or

- Parent company name (if applicable)

<https://precision.fda.gov/uniiisearch> For UNII requests

\_\_\_\_\_

\_\_\_\_\_




**CREATE NEW COSMETIC PRODUCT LISTING**

☒ Create a new Cosmetic Product Listing using a blank form  
☐ Import an existing Cosmetic Product Listing SPL

**Note:** To update an existing submission, click on CANCEL and SELECT a submission with the status SUBMISSION ACCEPTED from the table in the prior page / Dashboard.

4. Navigate to the Create a New Cosmetics Product Listing using a blank form page. You can do this by clicking on the "Create an initial Cosmetic Product Listing using a blank form" option on the Create a New Product Listing or Upload an Existing File page.
  - a. This will allow users to create a new product listing for a cosmetic product using a blank form.
5. A **blank template** will display with **required and optional fields**, a red asterisk (\*) indicates a required field throughout the submission process:

All Submissions   Cosmetic Product Listing   **Cosmetic Products**   

**Note:** Click on the Data Element Name for each field below to display instructions and helpful hints for filling out this Registration of Cosmetic Product Facility submission form. A red asterisk (\*) indicates a required field.


For assistance with validation errors and general questions regarding electronic registration and listing of cosmetic product facilities and products, contact [cosmeticsdirect@fda.hhs.gov](mailto:cosmeticsdirect@fda.hhs.gov).

+ DOCUMENT TYPE DETAILS



+ PRODUCT, INGREDIENT AND FACILITY LISTING OF THE COSMETIC PRODUCT

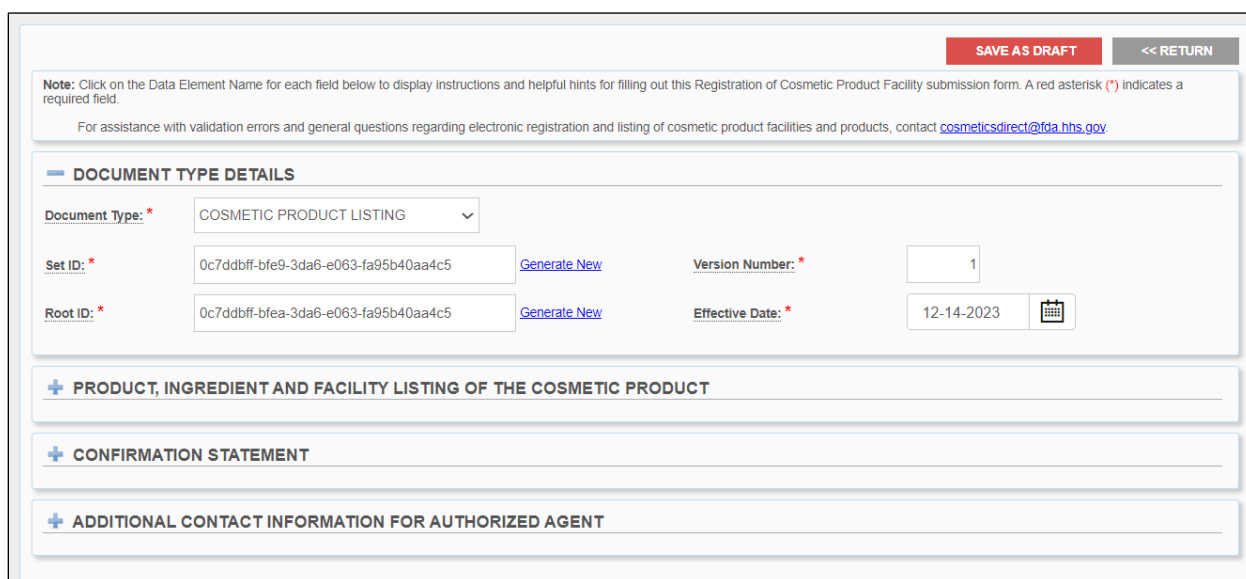
+ CONFIRMATION STATEMENT

+ ADDITIONAL CONTACT INFORMATION FOR AUTHORIZED AGENT

6. Enter the required information as indicated by red asterisk (\*) throughout the submission process.
  - a. **PLEASE NOTE:** For assistance with validation errors in Cosmetic Direct contact [cosmeticsdirect@fda.hhs.gov](mailto:cosmeticsdirect@fda.hhs.gov). For general questions regarding electronic registration and listing of cosmetic product facilities, contact [eRLC@fda.hhs.gov](mailto:eRLC@fda.hhs.gov).
7. A tour guide  is available to walk a user through the submission icon as shown below.

- a. **SUBMIT SPL:** Submit SPL will send the submission to FDA for additional validation and processing.

- b. **SAVE AS DRAFT:** Save Draft button allows you to save your work, preserving your progress without submitting it to the FDA.
  - c. **Validate SPL:** You can check your SPL for an initial validation before submitting to FDA. This option does not automatically submit your SPL to FDA, even if it passes the initial validation, but scans for certain errors prior to the actual submission.
  - d. **DELETE:** This will remove the submission from your account.
  - e. **RETURN:** will guide the user to Cosmetics Product Listing Submissions home page
8. Select the '+' to open or '-' to close the **DOCUMENT TYPES** section of the **COSMETIC PRODUCT LISTING** to focus one section at a time, a red asterisk (\*) indicates a required field throughout the submission process:  



**SAVE AS DRAFT** **<< RETURN**

**Note:** Click on the Data Element Name for each field below to display instructions and helpful hints for filling out this Registration of Cosmetic Product Facility submission form. A red asterisk (\*) indicates a required field.

For assistance with validation errors and general questions regarding electronic registration and listing of cosmetic product facilities and products, contact [cosmeticsdirect@fda.hhs.gov](mailto:cosmeticsdirect@fda.hhs.gov)


**DOCUMENT TYPE DETAILS**

**Document Type:** \* COSMETIC PRODUCT LISTING

**Set ID:** \* 0c7ddbff-bfe9-3da6-e063-fa95b40aa4c5 [Generate New](#)

**Root ID:** \* 0c7ddbff-bfea-3da6-e063-fa95b40aa4c5 [Generate New](#)

**Version Number:** \* 1

**Effective Date:** \* 12-14-2023 

**+ PRODUCT, INGREDIENT AND FACILITY LISTING OF THE COSMETIC PRODUCT**

**+ CONFIRMATION STATEMENT**

**+ ADDITIONAL CONTACT INFORMATION FOR AUTHORIZED AGENT**

- a. **PLEASE NOTE:** The **DOCUMENT TYPE** of the **DOCUMENT TYPE DETAILS** section is preselected to COSMETIC PRODUCT LISTING, which is the (INITIAL) submission. The **Set ID**, **Root ID**, **Version Number**, and **Effective Date** fields will always auto-populate for the **INITIAL SUBMISSION**:

9. Select “**DOCUMENT TYPE DETAILS**,” a red asterisk (\*) indicates a required field throughout the submission process:

**DOCUMENT TYPE DETAILS**

**Document Type:** \* COSMETIC PRODUCT LISTING

**Set ID:** \* 0c7ddbff-bfe9-3da6-e063-fa95b40aa4c5 [Generate New](#)

**Version Number:** \* 1

**Root ID:** \* 0c7ddbff-bfe9-3da6-e063-fa95b40aa4c5 [Generate New](#)

**Effective Date:** \* 12-14-2023

- a. **PLEASE NOTE:** By selecting the dotted underlined words throughout the system will pop up a tooltip with brief explanation/definitions, along with the link to the MoCRA guidance, as shown as an example below.

**Document Type**

**COSMETIC PRODUCT LISTING-(INITIAL):** The responsible person of a cosmetic product that is marketed on December 29, 2022, must submit a cosmetic product listing, or ensure such submission is made, not later than December 29, 2023, or for a cosmetic product that is first marketed after December 29, 2022, within 120 days of marketing such product in interstate commerce (section 607(c)(2) of the FD&C Act). Consistent with the approach for registration of a facility that starts manufacturing or processing cosmetic products after December 29, 2022 (section 607(a)(1)(B) of the FD&C Act), FDA expects the product listing for a cosmetic product to be submitted within 120 days after marketing the product, or within 120 days after December 29, 2023, whichever is later.

**Note:** On November 8, 2023, FDA issued a guidance for industry titled “Compliance Policy for Cosmetic Product Facility Registration and Cosmetic Product Listing.” This guidance explains that FDA does not intend to enforce the requirements under section 607 of the FD&C Act related to cosmetic product listing until **July 1, 2024**.

**COSMETIC-UPDATE(CHANGES TO LISTING or DISCONTINUATION OF LISTING)(annual):** The responsible person must provide any updates to such listing annually (CHANGES TO LISTING or DISCONTINUATION OF LISTING) (section 607(c)(5) of the FD&C Act). This includes an update that the product was discontinued.

**COSMETIC-ABBREVIATED RENEWAL:** FDA is providing for an abbreviated process for the renewal of any cosmetic product listing, as required under section 607(c)(3), for which there has been no change since the responsible person submitted the previous listing.

10. Select one of the ‘**DOCUMENT TYPE**’ by selecting the drop-down icon, a red asterisk (\*) indicates a required field throughout the submission process:

**DOCUMENT TYPE DETAILS**

Document Type: \*  
COSMETIC PRODUCT LISTING

Set ID: \*  
--Select One--  
COSMETIC PRODUCT LISTING  
COSMETIC - UPDATE  
COSMETIC - ABBREVIATED RENEWAL  
0c7dd011-b1ea-3da6-e063-1a95b40aa4c5

Root ID: \*  
0c7dd011-b1ea-3da6-e063-1a95b40aa4c5

a. DOCUMENT TYPES INFORMATION\*:

- **COSMETIC PRODUCT LISTING** -(INITIAL): The responsible person of a cosmetic product that is marketed on December 29, 2022, must submit a cosmetic product listing, or ensure such submission is made, not later than December 29, 2023, or for a cosmetic product that is first marketed after December 29, 2022, within 120 days of marketing such product in interstate commerce (section 607(c)(2) of the FD&C Act). Consistent with the approach for registration of a facility that starts manufacturing or processing cosmetic products after December 29, 2022 (section 607(a)(1)(B) of the FD&C Act), FDA expects the product listing for a cosmetic product to be submitted within 120 days after marketing the product, or within 120 days after December 29, 2023, whichever is later.
  - Note: On November 8, 2023, FDA issued a guidance for industry titled “Compliance Policy for Cosmetic Product Facility Registration and Cosmetic Product Listing.” This guidance explains that FDA does not intend to enforce the requirements under section 607 of the FD&C Act related to cosmetic product listing until **July 1, 2024**.
  - **PLEASE NOTE**: Cosmetic Product Listing (Initial) is preselected when entering the SPL application form.
- **COSMETIC-ABBREVIATED RENEWAL**: FDA is providing for an abbreviated process for the renewal of any cosmetic product listing, as required under section 607(c)(3), for which there has been no change since the responsible person submitted the previous listing.

- **PLEASE NOTE:** When making this selecting an ALERT box will appear, *“By selecting this document type, you are certifying that no changes have been made to your product listing since the previous listing was submitted”*.

By selecting this document type, you are certifying that no changes have been made to your Cosmetic Product Listing since the previous submission was submitted. Any changes made to the submission will be lost and the submission details will be reverted to the previous submission.

OK

Cancel

- **COSMETIC** -UPDATE (CHANGES TO LISTING or DISCONTINUATION OF LISTING) (annual): The responsible person must provide any updates to such listing annually (CHANGES TO LISTING or DISCONTINUATION OF LISTING) (section 607(c)(5) of the FD&C Act). This includes an update that the product was discontinued.
  - **PLEASE NOTE:** For more information visit: Registration and Listing of Cosmetic Product Facilities and Products: Guidance for Industry (fda.gov)

11. The **Set ID**, **Root ID**, **Version Number**, and **Effective Date** fields will always auto-populate for the **INITIAL SUBMISSION ONLY**. When an SPL submission changes, a new Root ID is assigned to the new SPL submission along with a NEW VERSION NUMBER.

<b>Set ID:</b> *	0ae8f51f-68ca-38ff-e063-fa95b40ac758	<a href="#">Generate New</a>	<b>Version Number:</b> *	1
<b>Root ID:</b> *	0ae8f51f-68cb-38ff-e063-fa95b40ac758	<a href="#">Generate New</a>	<b>Effective Date:</b> *	11-24-2023 

- PLEASE NOTE:** Select words are underlined and provides definitions; select them to open the tool tip will pop up.
- The other four elements under section one: Document Type Details
  - Set ID
  - Root ID
  - Version Number
  - Effective Date

## c. INFORMATION on the Four Elements:

- **SET ID\***: The Set ID uniquely identifies a group of versions of an SPL submission. When an SPL submission changes, a new Root ID is assigned to the new SPL submission, but the Set ID in the original SPL submission also is used. The Set ID is a Globally Unique Identifier (GUID). A GUID is a string of numbers and lower-case letters generated using a specifically defined mathematical algorithm to ensure a very low probability of identical GUID used in the same system. An example is: 9aa9d2e6-6982-48e5-831d-dbe7c04a14ed.

Set ID: *	0ae8f51f-68ca-38ff-e063-fa95b40ac758	<a href="#">Generate New</a>
-----------	--------------------------------------	------------------------------

- **ROOT ID\***: The Root ID uniquely identifies a specific SPL file. Each new version of an SPL file has a new id root. The id root is a Globally Unique Identifier (GUID). A GUID is a string of numbers and lower-case letters generated using a specifically defined mathematical algorithm to ensure a very low probability of identical GUID used in the same system. An example is: 9aa9d2e6-6982-48e5-831d-dbe7c04a14ed.

Root ID: *	0ae8f51f-68cb-38ff-e063-fa95b40ac758	<a href="#">Generate New</a>
------------	--------------------------------------	------------------------------

- **VERSION NUMBER\***: The Version Number gives sequential order to the different versions of an SPL submission. The version number is a whole number greater than zero, such as 6, 7, or 8. The version number is increased with each change to the SPL submission. Enter a number greater than zero (0) in the Version Number field.

Version Number: *	<input type="text" value="1"/>
-------------------	--------------------------------

- **EFFECTIVE DATE\***: The date the submission is created, users can modify it. However, the system will only use the actual registration date submitted to FDA. It also provides a date reference to the SPL version. Select the date by clicking on the calendar icon. Once an SPL has been submitted, this date cannot be edited by users.

Effective Date: *	11-06-2023	
-------------------	------------	---

12. Fill in all the blank fields in the **PRODUCT, INGREDIENT AND FACILITY LISTING OF THE COSMETIC PRODUCT** section, a red asterisk (\*) indicates a required field throughout the submission process:

**PRODUCT, INGREDIENT AND FACILITY LISTING OF THE COSMETIC PRODUCT**

Is this a product listing for a small business (optional product listing)?: ☐ Yes ☐ No

Responsible Person (as listed on label):

Type of Business: ☐ MANUFACTURER ☐ PACKER ☐ DISTRIBUTOR

Responsible Person Name (as listed on label): \*

Parent Company Name (if applicable):

Responsible Person Phone Number (Include Country/Area Code): \*

Responsible Person D&B D-U-N-S Number for Address Listed on the Product Label:

**PRODUCT(S), INGREDIENT(S), AND FACILITY(IES)**

Add all required information by selecting ADD PRODUCT(S), INGREDIENT(S), AND FACILITY(IES).

**ADD PRODUCT(S), INGREDIENT(S), AND FACILITY(IES)**

- a. **PLEASE NOTE:** By selecting the dotted underlined words throughout the system will pop up a tooltip with brief explanation/definitions, along with the link to the MoCRA guidance.
13. Fill in all the blank fields in the **RESPONSIBLE PERSON** section of the **PRODUCT, INGREDIENT AND FACILITY LISTING OF THE COSMETIC PRODUCT** section, a red asterisk (\*) indicates a required field throughout the submission process:

**PRODUCT, INGREDIENT AND FACILITY LISTING OF THE COSMETIC PRODUCT**

Is this a product listing for a small business (optional product listing)?: ☐ Yes ☐ No

Responsible Person (as listed on label):

Type of Business: ☐ MANUFACTURER ☐ PACKER ☐ DISTRIBUTOR

Responsible Person Name (as listed on label): \*

Parent Company Name (if applicable):

Responsible Person Phone Number (Include Country/Area Code): \*

Responsible Person D&B D-U-N-S Number for Address Listed on the Product Label:

14. The elements toward the **LEFT** side of the webpage section of the **PRODUCT, INGREDIENT AND FACILITY LISTING OF THE COSMETIC PRODUCT** section, a red asterisk (\*) indicates a required field throughout the submission process:
- a. Is this product listing for a small business?: (optional) Indicate whether you are listing the product(s) for a small business by selecting one of the options provided.

- Section 612 of the FD&C Act provides exemptions to certain small businesses from the requirements of section 607 (Registration and Product Listing). However, such exemptions from the requirements of section 607 of the FD&C Act do not apply to any responsible person or

facility engaged in the manufacturing or processing of any of the following products listed in section 612(b) of the FD&C Act:

- 1) Cosmetic products that regularly come into contact with mucus membrane of the eye under conditions of use that are customary or usual
  - 2) Cosmetic products that are injected.
  - 3) Cosmetic products that are intended for internal use.
  - 4) Cosmetic products that are intended to alter appearance for more than 24 hours under conditions of use that are customary or usual and removal by the consumer is not part of such conditions of use that are customary or usual.
- **PLEASE NOTE:** For more information visit: Registration and Listing of Cosmetic Product Facilities and Products: Guidance for Industry (fda.gov)

Is this a product listing for a small business (optional product listing)?: ☐ Yes ☐ No

- b. Responsible Person (as Listed on the label): (optional) The manufacturer, packer, or distributor of a cosmetic product whose name appears on the label of such cosmetic product.

- **PLEASE NOTE:** ANY of the combination can be selected (one, none, or all).

Responsible Person (as listed on label):	Type of Business:
	<input type="checkbox"/> MANUFACTURER <input type="checkbox"/> PACKER <input type="checkbox"/> DISTRIBUTOR

- c. Responsible Person Name (as Listed on the label) \*: Enter the responsible person name AS IT APPEARS ON THE LABEL.

Responsible Person Name (as listed on label): *	<input type="text"/>
---	----------------------

- d. Parent Company Name (if applicable): (optional) Enter the name of the parent company that is associated with this submission.

Parent Company Name (if applicable):	<input type="text"/>
---	----------------------



15. The elements toward the **RIGHT** side of the **webpage** section of the **PRODUCT, INGREDIENT AND FACILITY LISTING OF THE COSMETIC PRODUCT** section, a red asterisk (\*) indicates a required field throughout the submission process:

- a. Responsible Person Phone Number (Include Country /Area Code) \*: Enter the responsible person's phone number including the area or the country code. The format for Phone number should be <Country Code>-<Area Code>-<Subscriber Number >For example, in the U.S. the phone number would be 1-999-9999999 or 1-999-999-9999

<b>Responsible Person Phone Number</b> ..... <b>(Include Country/Area Code): *</b> .....	<input type="text"/>
---	----------------------

- b. Responsible Person D&B D-U-N-S Number for Address Listed on the Product Label: (optional) Enter the existing 9 digital DUNS number of the address listed on the product label. Obtain a DUNS number: <https://www.dnb.com>

<b>Responsible Person D&amp;B D-U-N-S Number for Address Listed on the Product Label:</b> ..... .....	<input type="text"/>
---	----------------------

- c. **PLEASE NOTE:** For more information on any of the fields ABOVE PLEASE visit: Registration and Listing of Cosmetic Product Facilities and Products: Guidance for Industry ([fda.gov](https://www.fda.gov))

16. To add **PRODUCT(S), INGREDIENT(S), AND FACILITY(IES)** to your SPL template, click the '**ADD PRODUCT(S), INGREDIENT(S), AND FACILITY(IES)**' button in the **PRODUCT, INGREDIENT AND FACILITY LISTING OF THE COSMETIC PRODUCT** section as shown below, a red asterisk (\*) indicates a required field throughout the submission process:



<b>ADD PRODUCT(S), INGREDIENT(S), AND FACILITY(IES)</b>	
— <b>PRODUCT(S), INGREDIENT(S), AND FACILITY(IES)</b> .....	<b>ADD PRODUCT(S), INGREDIENT(S), AND FACILITY(IES)</b>

17. A blank template titled *PRODUCT(S), INGREDIENT(S), AND FACILITY(IES)* will display. Fill in the required fields and select all that apply, a red asterisk (\*) indicates a required field throughout the submission process:

### 4.2.3 Product(s), Ingredient(s), and Facility(es)

1. Fill in all the blank fields in the **COSMETIC PRODUCTS** section of the **PRODUCT(S), INGREDIENT(S), AND FACILITY(IES)** section, a red asterisk (\*) indicates a required field throughout the submission process:

The screenshot shows a web form for submitting cosmetic product information. At the top, there are navigation tabs: 'All Submissions', 'Cosmetic Product Listing', 'Cosmetic Products', and 'Product(s), Ingredient(s), and Facility(ies)'. The 'Product(s), Ingredient(s), and Facility(ies)' tab is active. In the top right corner, there are two buttons: 'SAVE PRODUCT' (red) and '<< RETURN' (grey). The main section is titled 'COSMETIC PRODUCTS'. It contains several input fields: 'Cosmetic Product Listing Number' (text box), 'Product Name (As listed on label): \*' (text box with a red asterisk), 'Product Webpage Link' (text box), 'Fragrance or Flavor: \*' (dropdown menu with '-- Select --'), and 'Professional Use Only:' (dropdown menu with '-- Select --'). Below these fields are four expandable sections, each with a '+' icon: 'PRODUCT CATEGORY CODE(S)', 'INGREDIENTS', 'LIST OF FACILITIES WHERE THE COSMETIC IS MANUFACTURED OR PROCESSED', and 'PRODUCT IMAGES'.

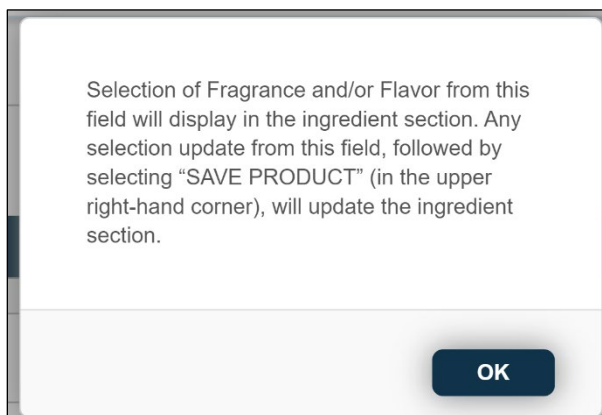
Select the '+' to open or '-' to close any   sections.

**PLEASE NOTE:** By selecting the dotted underlined words throughout the system will pop up a tooltip with brief explanation/definitions, along with the link to the MoCRA guidance.

- a. Product Listing Number\*: This 14-digit number will be generated by the system for each cosmetic product submission after acceptance. **PLEASE NOTE: THE PRODUCT LISTING NUMBER WILL BE GENERATED AFTER A SUBMISSION HAS BEEN ACCPETED BY FDA.**
- b. Product Name (As Listed on Label) \*:In the product name field, enter the *statement of identity*, as such name appears on the label. If the product names in the listing are not unique, then also include distinguishing information for identification purposes, for example brand name or a code that the responsible person uses to distinguish the product. Such information may also be included in addition to the product name even when product names in the listing are

unique. If you believe certain distinguishing information is confidential, include that distinguishing information in parenthesis.

- c. Product Webpage Link: (optional) Provide the webpage link of the product.
- d. Fragrance or Flavor\*: Select if the product contains fragrance, flavor, fragrance and flavor or N/A.
  - **PLEASE NOTE:** An INFORMATION BANNER will pop-up when FRAGRANCE OR FLAVOR SELECTION is made, as shown below:



- e. Professional Use Only: (optional) Indicate whether this product is for professional use by selecting yes or no.
2. To add multiple **PRODUCT CATEGORY CODE(S)** section of the **PRODUCT(S), INGREDIENT(S), AND FACILITY(IES)** section, click the '**MANAGE CATEGORIES**' button in **Product Category Code(s)** section, as shown below . A red asterisk (\*) indicates a required field throughout the submission process:
 

A red rectangular button with the text "MANAGE CATEGORIES" in white, all-caps font.
  3. A selection window titled *COSMETIC PRODUCT CATEGORIES* will display as shown below. Select all that apply.

All Submissions   Cosmetic Product Listing   Cosmetic Products   Product(s), Ingredient(s), and Facility(ies)   **Cosmetic Product Categories**

**SAVE CATEGORIES**   **<< RETURN**

**PRODUCT CATEGORY CODE(S) (SELECT ALL THAT APPLY): \***

Select the product category or categories for this product name. Each main product category has a sub-product category. A sub-product category can have sub-sub product category, select the one that applies to this product name. (e.g., leave-on or rinse-off). For more information visit: [Registration and Listing of Cosmetic Product Facilities and Products: Guidance for Industry \(fda.gov\)](#)

- + (01) Baby products
- + (02) Bath preparations
- + (03) Eye makeup preparations (other than children's eye makeup preparations)
- + (04) Children's eye makeup preparations
- + (05) Fragrance preparations
- + (06) Hair preparations (non-coloring)
- + (07) Hair coloring preparations
- + (08) Makeup preparations (not eye)(other than makeup preparations for children)
- + (09) Makeup preparations for children (not eye)
- + (10) Manicuring preparations
- + (11) Oral products
- + (12) Personal cleanliness
- + (13) Shaving preparations
- + (14) Skin care preparations (creams, lotions, powder, and sprays)
- + (15) Suntan preparations
- + (16) Tattoo preparations
- ☐ (17) Other preparations (i.e., those preparations that do not fit another category)

- a. Product Category Code(s) \*: Select the product category or categories for this product name. Each main product category has a sub- product category. And some sub-product categories have sub-sub product category, select the one that applies to this product name. (e.g., leave-on or rinse-off)

- For example:

— (01) Baby products

- ☐ (A) Baby shampoos
- ☐ (B) Lotions, oils, powders, and creams
- ☐ (C) Baby wipes

— (D) Other baby products

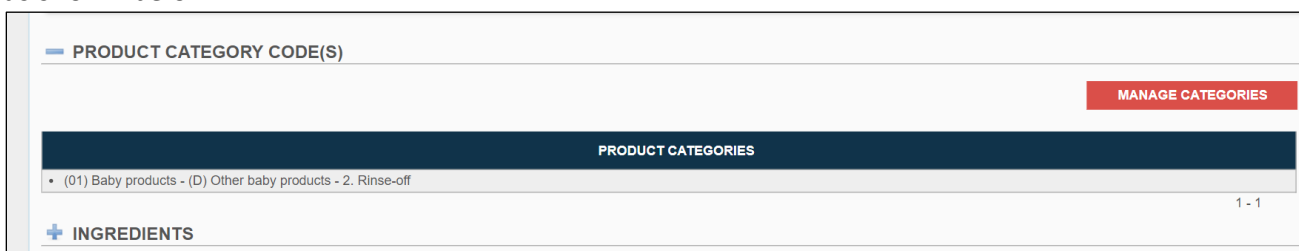
- ☐ 1. Leave-on
- ☐ 2. Rinse-off

- (01) is considered a main product category (e.g. any section that begins with (#) are main product category).
- (A) to (D) are considered sub- product categories (e.g., any section that begins with (capital letter) are a sub-product category).

- 1. and 2. are considered a sub-sub product (e.g., any section that begins with a number that ends with a dot (.) are a sub-sub product category).
  - **PLEASE NOTE:** For more information visit: *Registration and Listing of Cosmetic Product Facilities and Products: Guidance for Industry* (fda.gov): <https://www.fda.gov/cosmetics/registration-listing-cosmetic-product-facilities-and-products/cosmetic-product-categories-and-codes>
  - **PLEASE NOTE:** Any combination can be selected, EXCEPT leave-on and rinse-off (one or the other may be selected). However, if sub- product category has been selected, then a sub-sub product category must be selected.
4. Once completed Click '**SAVE CATEGORIES**', located at the top right of the page:



5. After clicking '**SAVE CATEGORIES**' all the selection that was made on the previous page will be stated under the PRODUCT CATEGORY CODE(S) tab in the **PRODUCT(S), INGREDIENT(S), AND FACILITY(IES)** section with a saved banner on the top of the page, as shown below:



- b. At this point, the option to '**DELETE**' this product tab on the upper right hand will appear along with '**SAVE PRODUCT**' and '**RETURN**' as shown below.



Product categories saved.

All Submissions > Cosmetic Product Listing > Cosmetic Products > **Product(s), Ingredient(s), and Facility(ies)**

SAVE PRODUCT DELETE << RETURN

**COSMETIC PRODUCTS**

Cosmetic Product Listing Number:

Product Name (As listed on label): \*

Product Webpage Link:

Fragrance or Flavor: \* -- Select --

Professional Use Only: -- Select --

ACTIVE

PRODUCT CATEGORY CODE(S)

MANAGE CATEGORIES

PRODUCT CATEGORIES

- (01) Baby products - (D) Other baby products - 2. Rinse-off

6. To add **PRODUCT INGREDIENTS** section of the **PRODUCT(S), INGREDIENT(S), AND FACILITY(IES)** section, click the '**MANAGE INGREDIENTS**' button in **INGREDIENTS** section, as shown below. A red asterisk (\*) indicates a required field throughout the submission process.

**MANAGE INGREDIENTS**

All Submissions > Cosmetic Product Listing > Cosmetic Products > **Product(s), Ingredient(s), and Facility(ies)**

SAVE PRODUCT DELETE << RETURN

**COSMETIC PRODUCTS**

Cosmetic Product Listing Number:

Product Name (As listed on label): \*

Product Webpage Link:

Fragrance or Flavor: \* -- Select --

Professional Use Only: -- Select --

PRODUCT CATEGORY CODE(S)

**INGREDIENTS**

Note that any update regarding Fragrance and/or Flavor made through the ingredient upload tool, will automatically update the above "Fragrance or Flavor" selection field in the previous section.

MANAGE INGREDIENTS

LIST OF FACILITIES WHERE THE COSMETIC IS MANUFACTURED OR PROCESSED

PRODUCT IMAGES

7. A blank template titled *COSMETIC INGREDIENTS* will display. Ingredients can be searched, added or uploaded, in the **INGREDIENTS** section., A red asterisk (\*) indicates a required field throughout the submission process:

All Submissions > Cosmetic Product Listing > Cosmetic Products > Product(s), Ingredient(s), and Facility(ies) > **Cosmetic Ingredients**

**SAVE INGREDIENTS** **DELETE INGREDIENTS** << RETURN

**INGREDIENTS**

**Note:** Fill in all INGREDIENTS that are included in this product listing or upload a prefilled ingredients file in the section below. Common, usual, or chemical name will auto populate as you type along with its UNII. If the ingredient does not auto-populate continue typing and select ADD. Ingredients can be re-ordered using drag and drop. Select an ingredient then move it into the new location.

Ingredient UNII-Name: \* Search Ingredients **ADD**

INGREDIENT UNII CODE(S)	COMMON, USUAL OR CHEMICAL NAME (AS LISTED ON THE LABEL)
*	1

**DOWNLOAD CURRENT INGREDIENT LIST**

**UPLOAD INGREDIENT FILE**

**Note:** To download a template with the current ingredient list, select Download Current Ingredient List. Edit the ingredient list, if necessary, then upload the completed template to replace the previous ingredient list. UNIs should be entered in the first column and ingredient names in the second column. Please do not enter CAS numbers instead of UNIs. CAS numbers will not be recognized by the system.

Any update regarding Fragrance and/or Flavor made through the ingredient upload tool will automatically update the "Fragrance or Flavor" selection field in the previous section.

**Drag and Drop**

Select a file or drop one here.

**UPLOAD** **CANCEL**

- a. **PLEASE NOTE:** Selection on Fragrance and/or Flavor made in the previous section, on the **PRODUCT(S), INGREDIENT(S), AND FACILITY(IES)** section, will be auto filled in the INGREDIENTS section. As an example, shown below:

**COSMETIC PRODUCTS**

Cosmetic Product Listing Number:

**ACTIVE**

Product Name (As listed on label): \*

Product Webpage Link:

Fragrance or Flavor: \*

Professional Use Only :

All Submissions   Cosmetic Product Listing   Cosmetic Products   Product(s), Ingredient(s), and Facility(ies)   **Cosmetic Ingredients**

**SAVE INGREDIENTS**   **DELETE INGREDIENTS**   << RETURN

**INGREDIENTS**

**Note:** Fill in all INGREDIENTS that are included in this product listing or upload a prefilled ingredients file in the section below. Common, usual, or chemical name will auto populate as you type along with its UNII. If the ingredient does not auto-populate continue typing and select ADD. Ingredients can be re-ordered using drag and drop. Select an ingredient then move it into the new location.

Ingredient UNII-Name: \* Search Ingredients **ADD**


✎	INGREDIENT UNII CODE(S)	COMMON, USUAL OR CHEMICAL NAME (AS LISTED ON THE LABEL)	≡
		FLAVOR	1
		FRAGRANCE	2

**DOWNLOAD CURRENT INGREDIENT LIST**

**UPLOAD INGREDIENT FILE**

**Note:** To download a template with the current ingredient list, select Download Current Ingredient List. Edit the ingredient list, if necessary, then upload the completed template to replace the previous ingredient list. UNII's should be entered in the first column and ingredient names in the second column. Please do not enter CAS numbers instead of UNII's. CAS numbers will not be recognized by the system.

Any update regarding Fragrance and/or Flavor made through the ingredient upload tool will automatically update the "Fragrance or Flavor" selection field in the previous section.

**Drag and Drop**   
Select a file or drop one here.

**UPLOAD**   **CANCEL**

8. Fill in all the ingredients that are included in this product (as listed on label). Common, usual, or chemical name will auto-populate as you type along with its UNII. If ingredient does not auto-populate continue typing and select **ADD**. As an example, shown below:

**INGREDIENTS**

**Note:** Fill in all INGREDIENTS that are included in this product listing or upload a prefilled ingredients file in the section below. Common, usual, or chemical name will auto populate as you type along with its UNII. If the ingredient does not auto-populate continue typing and select ADD. Ingredients can be re-ordered using drag and drop. Select an ingredient then move it into the new location.


Ingredient UNII-Name: \* wate **ADD**

✎	INGREDIENT UNII CODE(S)	COMMON, USUAL OR CHEMICAL NAME (AS LISTED ON THE LABEL)
	(059QF0K00R)	WATER
	(5W66YHS8PH)	WATER YAM
	(63M8RYN44N)	WATER O-15
	(231473QB6R)	WATERMELON
	(K5877MW0LE)	WATERCRESS
	(7QV8F8BYNJ)	WATER O-18
	(0A4PW6CRAI)	WATER BUFFALO
	(267F5Y81NT)	COCONUT WATER
	(A924072X00)	WATERMELON SEED

**UPLOAD INGREDIENT FILE**

**Note:** To download a template with the current ingredient list, select Download Current Ingredient List. Edit the ingredient list, if necessary, then upload the completed template to replace the previous ingredient list. UNII's should be entered in the first column and ingredient names in the second column. Please do not enter CAS numbers instead of UNII's. CAS numbers will not be recognized by the system.

Any update regarding Fragrance and/or Flavor made through the ingredient upload tool will automatically update the "Fragrance or Flavor" selection field in the previous section.

**Drag and Drop**   
Select a file or drop one here.



SAVE INGREDIENTS
DELETE INGREDIENTS
<< RETURN

**INGREDIENTS**

**Note:** Fill in all INGREDIENTS that are included in this product listing or upload a prefilled ingredients file in the section below. Common, usual, or chemical name will auto populate as you type along with its UNII. If the ingredient does not auto-populate continue typing and select ADD. Ingredients can be re-ordered using drag and drop. Select an ingredient then move it into the new location.

Ingredient UNII-Name: \*  ADD

	INGREDIENT UNII CODE(S)	COMMON, USUAL OR CHEMICAL NAME (AS LISTED ON THE LABEL)	
		FLAVOR	1
		FRAGRANCE	2
✕	059QF0K00R	WATER	3

9. An ingredient can be deleted by selecting the **X** on the left-most column. As an example, shown below:

Ingredient UNII-Name: \*

	INGREDIENT UNII CODE(S)	
✕	059QF0K00R	WATER
		FLAVOR
		FRAGRANCE

**PLEASE NOTE:** Any update on **Fragrance and/or Flavor**, will have to go to the previous

**COSMETIC PRODUCTS**

Cosmetic Product Listing Number:

Product Name (As listed on label): \*

Product Webpage Link:

Fragrance or Flavor: \*  ▼

Professional Use Only :  ▼

section, on the **PRODUCT(S), INGREDIENT(S), AND FACILITY(IES)** section and make the changes as needed. Any changes made on the **PRODUCT(S), INGREDIENT(S), AND FACILITY(IES)** section will regenerate it here on the ingredient list.

10. **Ingredients** can be **re-ordered** using **drag and drop feature**. Select an ingredient then move it into the new location. As an example, shown below:

Ingredient UNII-Name:  Search Ingredients ADD

INGREDIENT UNII CODE(S)	COMMON, USUAL OR CHEMICAL NAME (AS LISTED ON THE LABEL)	
	FLAVOR	1
059QF0K00R	WATER	3
	FRAGRANCE	2

Ingredient UNII-Name:  Search Ingredients ADD

INGREDIENT UNII CODE(S)	COMMON, USUAL OR CHEMICAL NAME (AS LISTED ON THE LABEL)	
059QF0K00R	WATER	1
	FLAVOR	2
	FRAGRANCE	3

11. To download current ingredient list with its UNII CODE(S) from the **INGREDIENTS** section, click the '**DOWNLOAD CURRENT INGREDIENT LIST**', as shown below

**DOWNLOAD CURRENT INGREDIENT LIST**

SAVE INGREDIENTS DELETE INGREDIENTS << RETURN

**INGREDIENTS**

**Note:** Fill in all INGREDIENTS that are included in this product listing or upload a prefilled ingredients file in the section below. Common, usual, or chemical name will auto populate as you type along with its UNII. If the ingredient does not auto-populate continue typing and select ADD. Ingredients can be re-ordered using drag and drop. Select an ingredient then move it into the new location.

Ingredient UNII-Name:  Search Ingredients ADD


INGREDIENT UNII CODE(S)	COMMON, USUAL OR CHEMICAL NAME (AS LISTED ON THE LABEL)	
	FLAVOR	1
	FRAGRANCE	2
059QF0K00R	WATER	3

**DOWNLOAD CURRENT INGREDIENT LIST**

**UPLOAD INGREDIENT FILE**

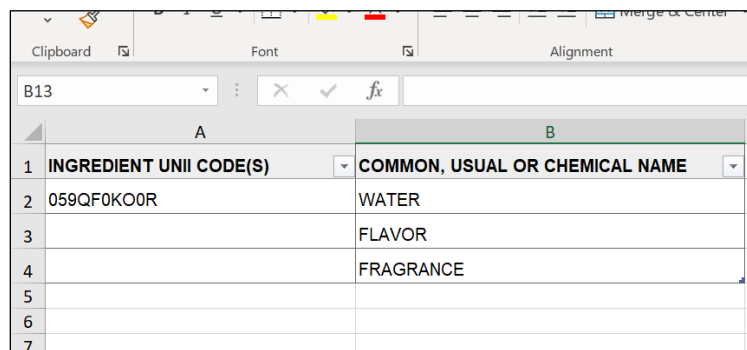
**Note:** To download a template with the current ingredient list, select Download Current Ingredient List. Edit the ingredient list, if necessary, then upload the completed template to replace the previous ingredient list. UNIs should be entered in the first column and ingredient names in the second column. Please do not enter CAS numbers instead of UNIs. CAS numbers will not be recognized by the system.

Any update regarding Fragrance and/or Flavor made through the ingredient upload tool will automatically update the "Fragrance or Flavor" selection field in the previous section.

**Drag and Drop**   
Select a file or drop one here.

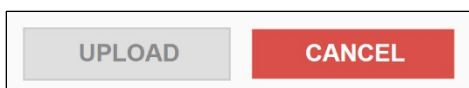
UPLOAD CANCEL

- a. It will download an EXCEL sheet prefilled with the current ingredient list, as shown below.

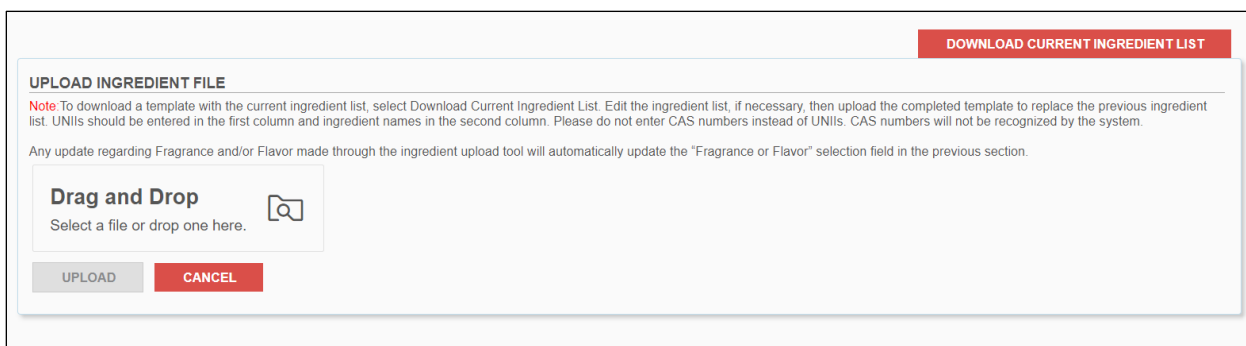


	A	B
1	INGREDIENT UNII CODE(S)	COMMON, USUAL OR CHEMICAL NAME
2	059QF0K00R	WATER
3		FLAVOR
4		FRAGRANCE
5		
6		
7		

12. Edit the ingredient list, if necessary, then upload the completed template to replace the previous ingredient list. UNIs should be entered in the first column and ingredient names in the second column.
  - a. **PLEASE NOTE:** DO NOT enter CAS numbers instead of UNIs. CAS numbers will not be recognized by the system.
13. SAVE it on to the computer.
14. Upload the completed template to replace the previous ingredient list, by selecting the **UPLOAD** button underneath the **DRAG AND DROP** in the **UPLOAD INGREDIENT FILE** section. As shown below :



UPLOAD
CANCEL



DOWNLOAD CURRENT INGREDIENT LIST

**UPLOAD INGREDIENT FILE**

**Note:** To download a template with the current ingredient list, select Download Current Ingredient List. Edit the ingredient list, if necessary, then upload the completed template to replace the previous ingredient list. UNIs should be entered in the first column and ingredient names in the second column. Please do not enter CAS numbers instead of UNIs. CAS numbers will not be recognized by the system.

Any update regarding Fragrance and/or Flavor made through the ingredient upload tool will automatically update the "Fragrance or Flavor" selection field in the previous section.

**Drag and Drop**

Select a file or drop one here.

UPLOAD
CANCEL

**PLEASE NOTE:** Any update regarding Fragrance and/or Flavor made through the ingredient upload tool, will automatically update the above "Fragrance or Flavor" selection field in the previous section.

15. Once all the **INGREDIENT(S)** are listed, select **SAVE INGREDIENTS**. If the user chooses to delete the ingredient's list, select **DELETE INGREDIENT**. By selecting **RETURN**, a warning banner will appear. As an example, shown below:



SAVE INGREDIENTS
DELETE INGREDIENTS
<< RETURN

All Submissions > Cosmetic Product Listing > Cosmetic Products > Product(s), Ingredient(s), and Facility(ies) > **Cosmetic Ingredients**

**SAVE INGREDIENTS** **DELETE INGREDIENTS** << RETURN

**INGREDIENTS**

**Note:** Fill in all INGREDIENTS that are included in this product listing or upload a prefilled ingredients file in the section below. Common, usual, or chemical name will auto populate as you type along with its UNII. If the ingredient does not auto-populate continue typing and select ADD. Ingredients can be re-ordered using drag and drop. Select an ingredient then move it into the new location.

Ingredient UNII-Name: \* Search Ingredients **ADD**

<input type="checkbox"/>	INGREDIENT UNII CODE(S)	COMMON, USUAL OR CHEMICAL NAME (AS LISTED ON THE LABEL)	
		FLAVOR	1
		FRAGRANCE	2
	059QF0KO0R	WATER	3

**DOWNLOAD CURRENT INGREDIENT LIST**

**UPLOAD INGREDIENT FILE**

**Note:** To download a template with the current ingredient list, select Download Current Ingredient List. Edit the ingredient list, if necessary, then upload the completed template to replace the previous ingredient list. UNII's should be entered in the first column and ingredient names in the second column. Please do not enter CAS numbers instead of UNII's. CAS numbers will not be recognized by the system.

Any update regarding Fragrance and/or Flavor made through the ingredient upload tool will automatically update the "Fragrance or Flavor" selection field in the previous section.

**Drag and Drop**   
Select a file or drop one here.

To save your data, click Cancel and then click Save Ingredients.

Click OK to continue without saving.

**OK**

Cancel

16. After clicking '**SAVE INGREDIENTS**' all the INGREDIENTS that were listed on the previous page will be listed under the ingredients tab in the **PRODUCT(S), INGREDIENT(S), AND FACILITY(IES)** section with a saved banner on the top of the page, as shown below:

**Product Ingredients Saved.**

All Submissions > Cosmetic Product Listing > Cosmetic Products > **Product(s), Ingredient(s), and Facility(ies)**

SAVE PRODUCT DELETE << RETURN

**COSMETIC PRODUCTS**

Cosmetic Product Listing Number:

Product Name (As listed on label): \*

Product Webpage Link:

Fragrance or Flavor: \*

Professional Use Only :

**+ PRODUCT CATEGORY CODE(S)**

**- INGREDIENTS**

Note that any update regarding Fragrance and/or Flavor made through the ingredient upload tool, will automatically update the above "Fragrance or Flavor" selection field in the previous section. MANAGE INGREDIENTS

INGREDIENT UNII CODE(S)	COMMON, USUAL OR CHEMICAL NAME (AS LISTED ON THE LABEL)
	FLAVOR
	FRAGRANCE
059QF0K00R	WATER

row(s) 1 - 3 of 3

17. To add the **LIST OF FACILITIES WHERE THE COSMETIC IS MANUFACTURED OR PROCESSED**, section of the **PRODUCT(S), INGREDIENT(S), AND FACILITY(IES)** section, click the 'ADD FACILITY' button in **LIST OF FACILITIES WHERE THE COSMETIC IS MANUFACTURED OR PROCESSED** section, as shown below. A red asterisk (\*) indicates a required field throughout the submission process.

**ADD FACILITY**

All Submissions > Cosmetic Product Listing > Cosmetic Products > **Product(s), Ingredient(s), and Facility(ies)**

SAVE PRODUCT DELETE << RETURN

**COSMETIC PRODUCTS**

Cosmetic Product Listing Number:

Product Name (As listed on label): \*

Product Webpage Link:

Fragrance or Flavor: \*

Professional Use Only :

**+ PRODUCT CATEGORY CODE(S)**

**+ INGREDIENTS**

**- LIST OF FACILITIES WHERE THE COSMETIC IS MANUFACTURED OR PROCESSED**

**ADD FACILITY**

18. Fill in all the blank fields in the **LIST OF FACILITIES WHERE THE COSMETIC IS MANUFACTURED OR PROCESSED** section of the **PRODUCT(S), INGREDIENT(S), AND FACILITY(IES)** section, as shown below. A red asterisk (\*) indicates a required field throughout the submission process:

- a. Is the facility where the product is manufactured or processed exempt from registration (for example because it is a small business)?\*: Indicate by selecting one of the options, whether the facility where the product is manufactured or processed is exempt from registration (for example because it is a small business).

**SMALL BUSINESSES.** — Under section 612(b) of the FD&C Act, regardless of their average gross annual sales, businesses that engage in the manufacturing or processing of the following are not exempt from the registration and listing requirements:

- Cosmetic products that regularly come into contact with mucus membrane of the eye under conditions of use that are customary or usual;
- Cosmetic products that are injected;
- Cosmetic products that are intended for internal use; or
- Cosmetic products that are intended to alter appearance for more than 24 hours under conditions of use that are customary or usual and removal by the consumer is not part of such conditions of use that are customary or usual.

- b. Facility FEI: Enter the existing 7 to 10-digit facility FEI number. The FEI number is a unique identifier assigned by the FDA to identify firms associated with FDA-

regulated products. To facilitate the registration process, the owner or operator of a facility will need to obtain an FEI number before submitting the facility registration.

**PLEASE NOTE:** To determine if an entity already has an FEI number, please refer to the [FEI Search Portal](#). If your firm does not have an FEI number assigned by FDA, see [How can I request an FEI?](#) at [FEI Search Portal](#)

- **Facility Name:** Enter the complete name of the existing facility.
- **Facility country:** Select facility's country name where the facility is physically located.
- **Facility Street Address:** Enter the complete information of the street where the facility is physically located.
- **Facility City:** Enter the complete name of the city where the facility is physically located.
- **Facility State or Province:** Enter the complete name of the state or province where the facility is physically located.
- **Facility Zip/Postal Code:** Enter the postal code or the zip code where the facility is physically located.

**PLEASE NOTE:** For more information visit: [Registration and Listing of Cosmetic Product Facilities and Products: Guidance for Industry \(fda.gov\)](#)

19. If selected **YES** to the question, ***“Is the facility where the product is manufactured or processed exempt from registration (for example because it is a small business)?”*** all data fields are optional. As shown below:

The screenshot displays a web form for facility registration. At the top right, there are two buttons: a red 'SAVE FACILITY' button and a grey '<< RETURN' button. Below these, a question is asked: 'Is the facility where the product is manufactured or processed exempt from registration (for example because it is a small business)?'. This question has two radio button options: 'YES' (which is selected) and 'NO'. Below the question, there are two columns of optional input fields. The left column contains 'Facility FEI:' with a text input box and 'Facility Name:' with a larger text input box. The right column contains 'Facility Country:' with a dropdown menu showing '-Select Country-', 'Facility Street Address:' with a text input box, 'Facility City:' with a text input box, 'Facility State or Province:' with a text input box, and 'Facility Zip/Postal Code:' with a text input box.

20. If selected **NO** to the question, ***"Is the facility where the product is manufactured or processed exempt from registration (for example because it is a small business)?"*** the FEI is mandatory, and the name/address is greyed. As shown below:

The screenshot displays a web form for facility registration. At the top right, there are two buttons: 'SAVE FACILITY' (red) and '<< RETURN' (grey). Below these, a question is posed: 'Is the facility where the product is manufactured or processed exempt from registration (for example because it is a small business)? \*'. Two radio buttons are provided: 'YES' (unselected) and 'NO' (selected). The form fields are organized into two columns. The left column contains 'Facility FEI: \*' with an input field, and 'Facility Name:' with a greyed-out input field. The right column contains 'Facility Country:' with a dropdown menu showing '-Select Country-', 'Facility Street Address:' with a greyed-out input field, 'Facility City:' with a greyed-out input field, 'Facility State or Province:' with a greyed-out input field, and 'Facility Zip/Postal Code:' with a greyed-out input field.

21. Once complete, clicking '**SAVE FACILITY**' and the FACILITY will be saved on the previous page under the **LIST OF FACILITIES WHERE THE COSMETIC IS MANUFACTURED OR PROCESSED** tab in the **PRODUCT(S), INGREDIENT(S), AND FACILITY(IES)** section with a saved banner on the top of the page, as shown below:



Cosmetic Product Facility Saved. ✕

All Submissions > Cosmetic Product Listing > Cosmetic Products > **Product(s), Ingredient(s), and Facility(ies)**

**SAVE PRODUCT** **DELETE** << RETURN

**COSMETIC PRODUCTS**

Cosmetic Product Listing Number:

Product Name (As listed on label): \*

Product Webpage Link:

Fragrance or Flavor: \* -- Select --


Professional Use Only : -- Select --

+ PRODUCT CATEGORY CODE(S)

+ INGREDIENTS

- LIST OF FACILITIES WHERE THE COSMETIC IS MANUFACTURED OR PROCESSED

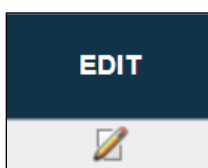
**ADD FACILITY**

EDIT	IS THIS FACILITY SMALL BUSINESS?	FACILITY FEI	FACILITY NAME	FACILITY ADDRESS
	No	3457234		

1 - 1


+ PRODUCT IMAGES

22. If any edit needs to be made in the **LIST OF FACILITIES WHERE THE COSMETIC IS MANUFACTURED OR PROCESSED**, after coming back to the **PRODUCT(S), INGREDIENT(S), AND FACILITY(IES)**, select the icon under the EDIT tab, as shown below:



- LIST OF FACILITIES WHERE THE COSMETIC IS MANUFACTURED OR PROCESSED

**ADD FACILITY**

EDIT	IS THIS FACILITY SMALL BUSINESS?	FACILITY FEI	FACILITY NAME	FACILITY ADDRESS
	No	3457234		

1 - 1

- a. Multiple **FACILITY(IES)** can be added by selecting the **ADD FACILITY** on the top right, as shown above.
23. The **PRODUCT IMAGES** section of the **PRODUCT(S), INGREDIENT(S), AND FACILITY(IES)** section, a red asterisk (\*) indicates a required field throughout the submission process:

- a. (optional) Upload an image of the label, any sides of the label whether it front back or sides by selecting or drag and drop the image, as shown below. The image must be in a .jpg.

PRODUCT IMAGES

Upload image(s) of the label, any sides of the label whether it front, back or sides.

Drag and Drop

Image of Product Label (Attach images of the front and back product labels by selecting the icon).

UPLOAD

CANCEL

- b. The image will display under the **PRODUCT IMAGES** section under **PRODUCT(S), INGREDIENT(S), AND FACILITY(IES)**, as shown below:

PRODUCT IMAGES



Upload image(s) of the label, any sides of the label whether it front, back or sides.

Drag and Drop

Image of Product Label (Attach images of the front and back product labels by selecting the icon).

UPLOAD

CANCEL

IMAGE	IMAGE PREVIEW	DELETE
<a href="#">product listing demo 1_.jpg</a>		

1 - 1

24. Select **SAVE PRODUCT** after completing all the required sections of the **PRODUCT(S), INGREDIENT(S), AND FACILITY(IES)**, as shown below:

SAVE PRODUCT

All Submissions > Cosmetic Product Listing > Cosmetic Products > **Product(s), Ingredient(s), and Facility(ies)**

SAVE PRODUCT DELETE << RETURN

**COSMETIC PRODUCTS**

Cosmetic Product Listing Number:

Product Name (As listed on label): \*

Product Webpage Link:

Fragrance or Flavor: \* -- Select --

Professional Use Only: -- Select --

+ PRODUCT CATEGORY CODE(S)

+ INGREDIENTS

+ LIST OF FACILITIES WHERE THE COSMETIC IS MANUFACTURED OR PROCESSED



+ PRODUCT IMAGES

25. AFTER selecting SAVE PRODUCT, an overall product detail will be displayed under **PRODUCT(S), INGREDIENT(S), AND FACILITY(IES)**, as shown below:

PRODUCT(S), INGREDIENT(S), AND FACILITY(IES)

ADD PRODUCT(S), INGREDIENT(S), AND FACILITY(IES)

row(s) 1 - 1 of 1

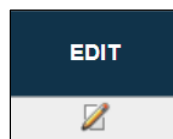
EDIT	COSMETIC PRODUCT LISTING NUMBER	PRODUCT NAME (AS LISTED ON LABEL)	FRAGRANCE OR FLAVOR	IS THIS PRODUCT FOR PROFESSIONAL USE ONLY?	CLONE
		shampoo	Fragrance & Flavor	N/A	

row(s) 1 - 1 of 1

- a. Multiple **PRODUCT** can be added by selecting the **ADD PRODUCT(S), INGREDIENT(S), AND FACILITY(IES)** on the top right, as shown above.

ADD PRODUCT(S), INGREDIENT(S), AND FACILITY(IES)

- b. If any edit needs to be made, select the icon under the EDIT tab, as shown below:




26. ANY similar **PRODUCT** with common ingredient(S) can be CLONED by selecting the **CLONE** icon, as shown below:

CLONE



PRODUCT(S), INGREDIENT(S), AND FACILITY(IES)
ADD PRODUCT(S), INGREDIENT(S), AND FACILITY(IES)

row(s) 1 - 1 of 1

EDIT	COSMETIC PRODUCT LISTING NUMBER	PRODUCT NAME (AS LISTED ON LABEL)	FRAGRANCE OR FLAVOR	IS THIS PRODUCT FOR PROFESSIONAL USE ONLY?	CLONE
		shampoo	Fragrance & Flavor	N/A	

row(s) 1 - 1 of 1

27. In the **CONFIRMATION STATEMENT** section, fill in the following blank fields.


CONFIRMATION STATEMENT

The data and information in this submission have been reviewed and, to the best of my knowledge, are certified to be true and accurate. I agree to report changes to this information as required under section 607 of the Federal Food, Drug, and Cosmetic Act.

WARNING: A willfully false statement is a criminal offense, [U.S. Code, Title 18, Section 1001](#).

☒ I Agree

Date



Name of Submitter

Click 'AGREE' after reading and understanding the confirmation statement:



28. If you would like to list additional contact information for an authorized agent, go to the **'Additional Contact Information for Authorized Agent'** section and fill in the following blanks:

ADDITIONAL CONTACT INFORMATION FOR AUTHORIZED AGENT

Additional Contact Name:

Email:

Phone Number (Include Country/Area Code):

Phone Extension:

**PLEASE NOTE:** ALL the above elements are optional.

- a. Additional Contact Name: (optional field) Enter an additional contact information for individuals associated with the listing.  
For more information visit: *Registration and Listing of Cosmetic Product Facilities and Products: Guidance for Industry (fda.gov)*.
- b. Email: (optional field) Provide the additional contact person's email address.

- c. Phone Number (Include Country/Area Code): (optional field) Enter the additional contact person's phone number including the country code and the area code. The format for Phone number should be <Country Code>-<Area Code>-<Subscriber Number>.
  - d. Phone Extension: (optional field) Enter additional contact person's phone extension, if any.
29. After filling in all the required information, return to the top of the SPL submission page, select **SAVE AND VALIDATE** to identify any errors OR select **SUBMIT SPL** for the form to be submitted to FDA.

<b>SUBMIT SPL</b>	<b>SAVE AS DRAFT</b>	<b>SAVE AND VALIDATE</b>	<b>DELETE</b>	<b>&lt;&lt; RETURN</b>
-------------------	----------------------	--------------------------	---------------	------------------------

- a. SUBMIT SPL: Submit SPL will send the submission to FDA for additional validation and processing.
- b. SAVE AS DRAFT: Save Draft button allows you to save your work, preserving your progress without submitting it to the FDA.
  - **PLEASE NOTE**: Click '**SAVE AS DRAFT**' from any screen during the process of registering the cosmetic product facility. The system saves all the information you inputted and will bring you back to the homepage. The status column will be in '**DRAFT**'.

<b>STATUS</b>
<u>DRAFT</u>

- c. Validate SPL: You can check your SPL for an initial validation before submitting to FDA. This option does not automatically submit your SPL to FDA, even if it passes the initial validation, but scans for certain errors prior to the actual submission.
  - d. DELETE: This will remove the submission from your account.
  - e. RETURN: will guide the user to Cosmetics Product Listing Submissions home page
30. Click '**RETURN**' at any time to return to the Cosmetic Product listing home page.

### 4.2.3.1 Save and Validate

1. Click **'SAVE AND VALIDATE'** if you want to check for errors with your SPL. To submit your SPL to FDA,
  - a. **PLEASE NOTE:** This option is only for an initial validation of your SPL before submitting to FDA. It does not automatically submit your SPL to FDA, even if it passes the initial validation, but scans for certain errors prior to the actual submission. To submit your data to the FDA, select "Submit SPL".
2. The status of your SPL will be in **'VALIDATION IN PROGRESS'**. A yellow message will appear across your screen stating, "Additional in-depth validation by the FDA is in progress. Check back on the status after a few minutes by refreshing the page or logging back into the system."

Additional in-depth validation by the FDA is in progress. Check back on the status after a few minutes by refreshing the page or logging back into the system.

3. Once the system has completed validation the status, **'VALIDATION IN PROGRESS'**, will change to **'READY FOR SUBMISSION'**.

[Click here](#) to view submissions that have completed validation.

STATUS	SET ID	ROOT ID	SUBMISSION ID	VERSION
READY FOR SUBMISSION	0c066ca6-f8da-b44d-e063-6a94af0ab7ab	0c066ca6-f8db-b44d-e063-6a94af0ab7ab		1

4. Click **'READY FOR SUBMISSION'**, the homepage will change to reflect the following:

EDIT
SUBMIT SPL
<< RETURN

Note: Click on the Data Element Name for each field below to display instructions and helpful hints for filling out this Registration of Cosmetic Product Facility submission form. A red asterisk (\*) indicates a required field.

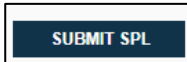
For assistance with validation errors and general questions regarding electronic registration and listing of cosmetic product facilities and products, contact [cosmetics@fda.hhs.gov](mailto:cosmetics@fda.hhs.gov)

Note: This submission has passed the initial validation but has not been actually submitted to FDA. Click on "Submit SPL" to submit.

- a. The system will generate a message stating that, *'This submission has passed the INITIAL VALIDATION but has NOT been ACTUALLY SUBMITTED TO FDA. Click ON "SUBMIT SPL" to SUBMIT.'*

### 4.2.3.2 Submit SPL to FDA

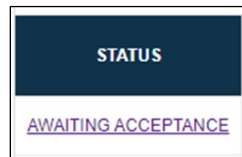
1. Click '**SUBMIT SPL**' if you are ready to submit your SPL to FDA.



- a. A green message will appear across your screen stating, "Your submission has been sent to FDA for additional validation and processing. Check the status of your submission after a few minutes by refreshing the page or logging back into the system. You will also receive an email from FDA when the processing is complete."



- b. The status field should read '**AWAITING ACCEPTANCE**'.



### 4.2.3.3 Submission Accepted

1. The status column will change to '**SUBMISSION ACCEPTED**' after the submissions has been successfully completed and **ACCEPTED BY FDA**. A '**SUBMISSION ID**' will be given to all accepted submissions.

STATUS	SET ID	ROOT ID	SUBMISSION ID	VERSION
<a href="#">SUBMISSION ACCEPTED</a>	0c066ca6-f8da-b44d-e063-6a94af0ab7ab	0c066ca6-f8db-b44d-e063-6a94af0ab7ab	cm1397680542.5304619872@direct	1

2. Click on '**SUBMISSION ACCEPTED**' to **VIEW SPL** and **DOWNLOAD SPL**.
  - a. To clone and create a new version of your successfully submitted SPL, click '**CREATE A NEW VERSION**'



- **PLEASE NOTE:** After selecting, your SPL will be successfully cloned and the ROOT ID, VERSION NUMBER, and EFFECTIVE DATE will change. All other fields will retain the same information from the initial successfully submitted SPL.

Set ID: *	0c066ca6-f8da-b44d-e063-6a94af0ab7ab	<a href="#">Generate New</a>	Version Number: *	2
Root ID: *	0c06eb2a-30c9-7866-e063-6b94af0af38e	<a href="#">Generate New</a>	Effective Date: *	12-08-2023

- b. To view your SPL, click '**VIEW SPL**'



- c. To download your SPL for your records, click '**DOWNLOAD SPL**'



- d. When your submission has been validated and accepted by the FDA. You will receive an email to your account email address when the submission status changes.



### 4.2.3.4 Submission Failed

1. If the status column changes to '**SUBMISSION FAILED**', your submission has not passed the FDA's requirements and has been rejected.

STATUS	SET ID	ROOT ID	SUBMISSION ID	VERSION
<a href="#">SUBMISSION FAILED</a>	0c066ca5-f5da-b44d-e063-6a94af0ab7ab	0c06eb2a-30c9-7866-e063-6b94af0af38e	cm6301528479.1247385960@direct	2

- a. You must open your submission at this stage to review error messages and update your submission to correct them. click on (GO TO ERROR) and the system will direct right to the error.

**2 ERRORS HAVE OCCURRED**
✕

- Error in Cosmetic Product : shampoo (Go to error)
- After reviewing these errors and still want to submit your data, click on Submit SPL.

ADD PRODUCT(S), INGREDIENT(S), AND FACILITY(IES)					
row(s) 1 - 1 of 1					
EDIT	COSMETIC PRODUCT LISTING NUMBER	PRODUCT NAME (AS LISTED ON LABEL)	FRAGRANCE OR FLAVOR	IS THIS PRODUCT FOR PROFESSIONAL USE ONLY?	CLONE
		shampoo	Fragrance & Flavor	N/A	
row(s) 1 - 1 of 1					

- b. Submit again and your submission will once again be '**AWAITING ACCEPTANCE**.'
2. If the status column changes to '**SUBMISSION ACCEPTED**', refer to step 37 for additional information.

### 4.2.3.5 Validation Failure

1. After clicking '**SAVE AND VALIDATE**', the product listing of cosmetic product listing home page will have the following details as shown below. The status column will be in **VALIDATION IN PROGRESS**. However, if the system finds any errors the status will change to **VALIDATION FAILURE**.

STATUS	SET ID	ROOT ID	SUBMISSION ID	VERSION
<a href="#">VALIDATION FAILURE</a>	0c066ca6-f8da-b44d-e063-6a94af0ab7ab	0c066ca6-f8db-b44d-e063-6a94af0ab7ab		1

2. Click '**VALIDATION FAILURE**', the system will provide a list of errors that need to be fixed before submitting the SPL:

**2 ERRORS HAVE OCCURRED**
✕

- Error in Cosmetic Product : shampoo ([Go to error](#))
- After reviewing these errors and still want to submit your data, click on [Submit SPL](#).

- b. After reviewing and fixing the errors, you can select '**SUBMIT SPL**' to resubmit or '**SAVE AND VALIDATE**' to check of any additional errors.

